

超流動体における対称性の破れとトポロジー：

^3He から中性子星まで

Takeshi Mizushima

Department of Material Engineering Science, Osaka University



Masatoshi Sato
(YITP, Kyoto)



James Sauls
(Northwestern)



Kazushige Machida
(Ritsumeikan)



Satoshi Fujimoto
(Osaka)



Yukio Tanaka
(Nagoya)



Muneto Nitta
(Keio)



OUTLINE

^3He and Neutron Stars

1. ^3He -A: Weyl fermions & chiral anomaly
2. ^3He -B: Topology & Majorana fermions

Topological $^3\text{P}_2$ Superfluids in Neutron Stars

Nambu Sum Rule

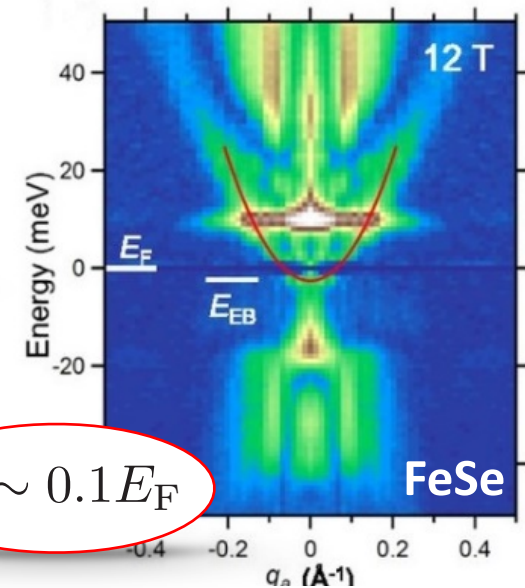
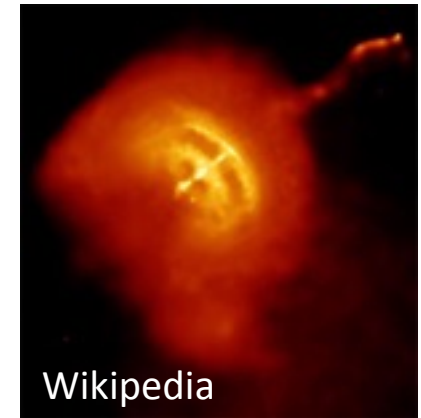
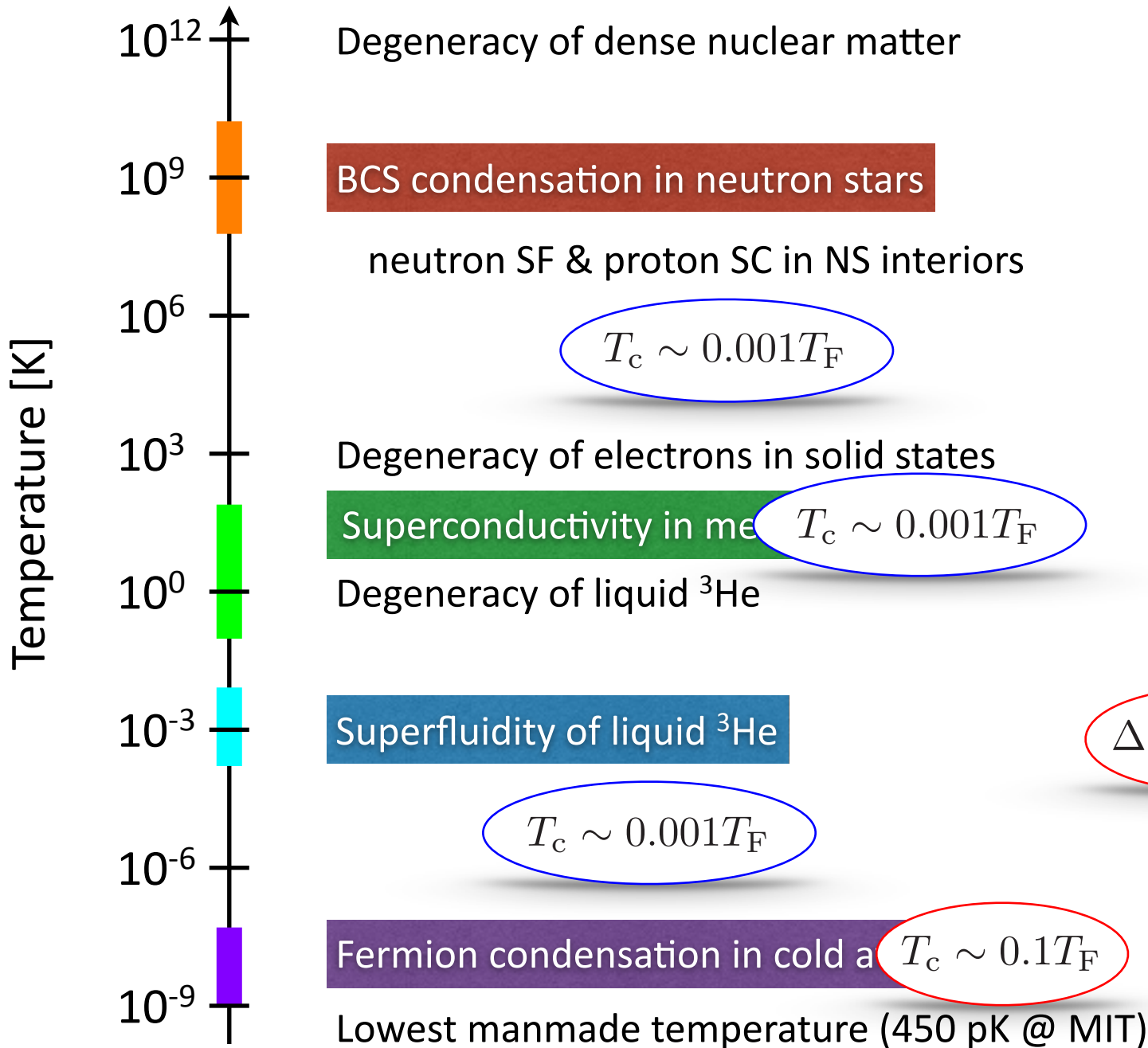
Topological Phases in Confined Superfluid ^3He -B

TM, K. Masuda, and M. Nitta, arXiv:1607.07266

J. A. Sauls and **TM**, arXiv:1611.07273 (PRB in press)

TM, Y. Tsutsumi, T. Kawakami, M. Sato, M. Ichioka, K. Machida, JPSJ **85**, 022001 (2016)

Cold Universe



Kasahara *et al.*, PNAS (2014)



^3He & Neutron Stars

1957 BCS theory

1960 Anderson-Morel
Generalization of BCS

1963 Balian-Werthamer
most symmetric p -wave

1970 Spin fluctuation: Layzer-Fay

1972 Discovery of SF in ^3He

1980 Observation of amplitude Higgs

1982 Exotic SC in U-compounds

1959 Migdal: prediction of BCS in NS
 $\Delta \sim 1 \text{ MeV}$

1966 Suppression of s-wave SF: Wolf

1967 Pulsar discovered: Hewish & Bell

1969 Pulsar Glitches observed in Vela
SF in NS: Baym, Pethick, & Pines

1970 $^3\text{P}_2$: Tamagaki & Hofferberg-Glassgold-
Richardson-Ruderman

1982 Thouless-Kohmoto-Nightingale-Nijs (1985 Kohmoto)

1984 Geometric (Berry) phase

1986 Weyl fermions in $^3\text{He-A}$: Volovik

1988 Topology in $^3\text{He-B}$: Salomaa-Volovik

1997 Observation of chiral anomaly(?)

2011 $^3\text{P}_2$ in NS core(?): Page *et al.*

Topology of SF phases
in NS?

2008- Topological periodic table

Pairing Symmetry

	Spin	Orbital	Candidate
singlet <i>s</i> -wave	Odd	Even	Many metals, Fe-based compounds (multiple gaps)
singlet <i>d</i> -wave	Odd	Even	High-Tc cuprates, CeCoIn ₅ , URu ₂ Si ₂
triplet <i>p</i> -wave	Even	Odd	³ He, Sr ₂ RuO ₄ (?), UBe ₁₃ (?), UCoGe, Cu _x Bi ₂ Se ₃ (?), ... & Neutron stars(?)
triplet <i>f</i> -wave	Even	Odd	UPt ₃

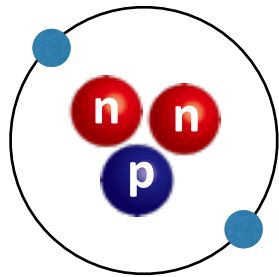
Several candidate materials for topological or Weyl superconductors but their pairing symmetries are still controversial...

³He is one of established topological & Weyl SC/SF

Normal ^3He & Dense Nuclear Matter

^3He atom

spin 1/2 fermion



$$S_{el} = 0, S_n = 1/2$$

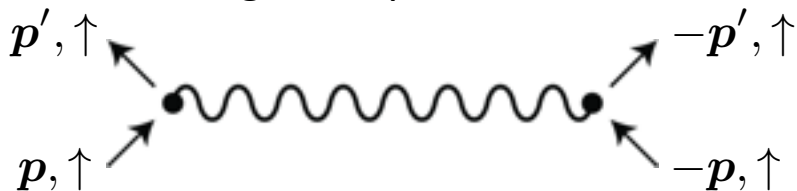
Normal ^3He : Fermi liquid w/ large effective mass

$$m^*/m_0 = 1 + F_0^s/3 = 3 \sim 6$$

$$\chi = \chi_0 \left(\frac{m^*/m_0}{1 + F_0^a} \right) \quad \text{corrections from the internal fields}$$

$F_0^a = -0.75$ strong ferromagnetic exchange int. very close to Stoner instability

Ferromagnetic spin fluctuations

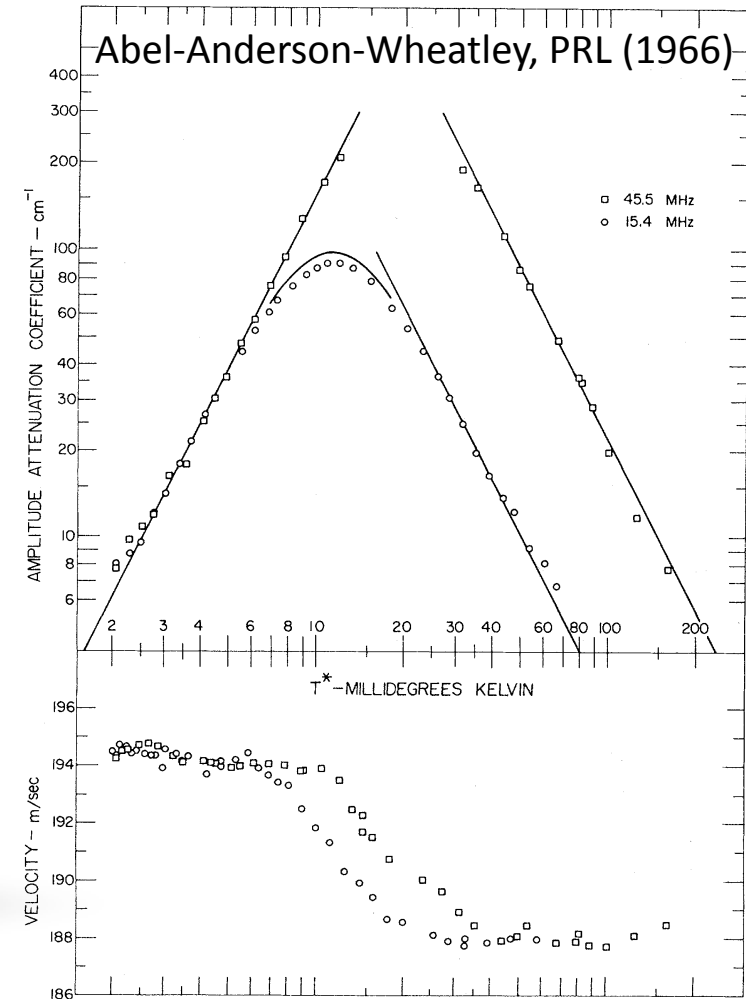


Layzer-Fay, Int. J. Magn. **1**, 135 (1971)

$$V(\mathbf{p}, \mathbf{p}') = \frac{F_0^a}{1 + F_0^a \chi(\mathbf{p}, \mathbf{p}')} < 0$$

spin triplet p -wave is the dominant pairing channel
subdominant f -wave pairing

Crossover from 1st sound to zero sound



Order Parameter for Spin-triplet Superfluids

Spin triplet ($L=1$)
 p-wave ($S=1$) OP

$$\begin{pmatrix} \Delta_{\uparrow\uparrow}(\mathbf{k}) & \Delta_{\uparrow\downarrow}(\mathbf{k}) \\ \Delta_{\downarrow\uparrow}(\mathbf{k}) & \Delta_{\downarrow\downarrow}(\mathbf{k}) \end{pmatrix} = \begin{pmatrix} -d_x(\mathbf{k}) + id_y(\mathbf{k}) & d_z(\mathbf{k}) \\ d_z(\mathbf{k}) & d_x(\mathbf{k}) + id_y(\mathbf{k}) \end{pmatrix}$$

$$J = S + L = 0, 1, 2$$

$$A_{\mu i}$$

spin momentum

$$d_{\mu}(\mathbf{k}) = A_{\mu i} \hat{k}_i$$

most symmetric p-wave pairing

$$A_{\mu i} = E_0 \begin{pmatrix} 1 & & \\ & 1 & \\ & & 1 \end{pmatrix}_{\mu i}$$

Scalar ($J=0$)

Balian-Werthamer (1963)

$$+ \begin{pmatrix} 0 & V_1 & V_2 \\ -V_1 & 0 & V_3 \\ -V_2 & -V_3 & 0 \end{pmatrix}$$

antisymmetric ($J=1$)

3P_2 pairing

$$+ \begin{pmatrix} T_{11} & T_{12} & T_{13} \\ T_{12} & T_{22} & T_{23} \\ T_{13} & T_{23} & T_{33} \end{pmatrix}$$

traceless symmetric ($J=2$)

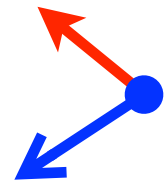
$$\text{SO}(3)_S \times \text{SO}(3)_L \times \text{U}(1) \longrightarrow \text{SO}(3)_J$$

spin



orbital

$$\text{SO}(3)_{L-S} \times \text{U}(1)$$



Spontaneous spin-orbit symmetry breaking: Emergence of spin-orbit interaction

Superfluid ^3He

Symmetry of Normal ^3He

$$G = \text{SO}(3)_S \times \text{SO}(3)_L \times \text{U}(1)$$

spin rotation momentum

Spin triplet *p-wave* order parameter

$$\Delta_{ab}(\mathbf{k}) = i(\boldsymbol{\sigma}\sigma_y)_{ab} \cdot \mathbf{d}(\mathbf{k})$$

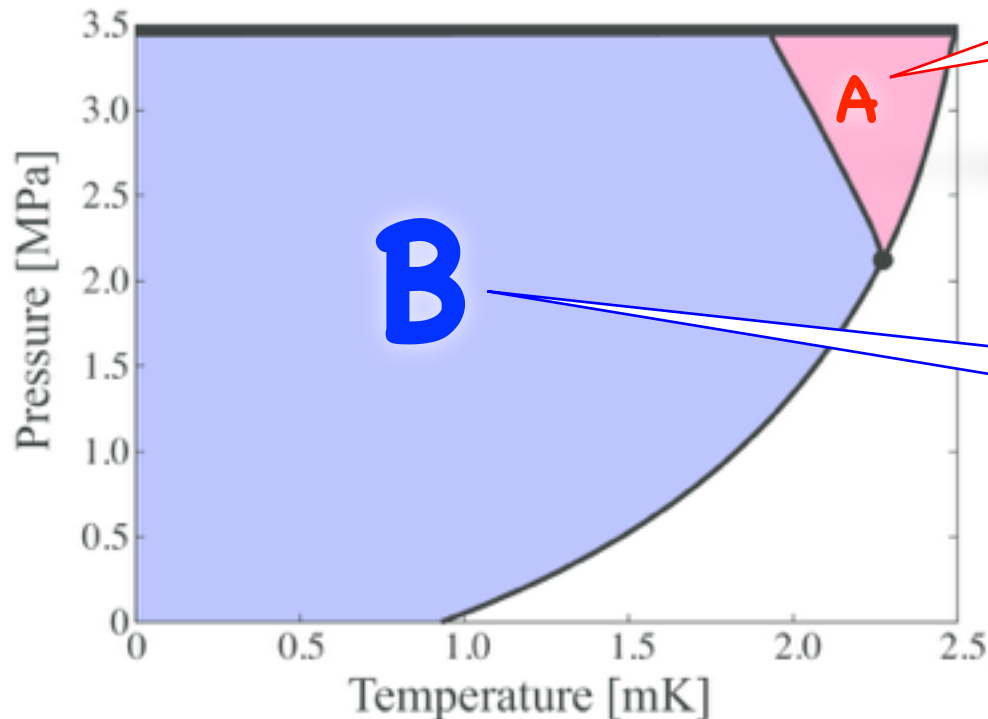
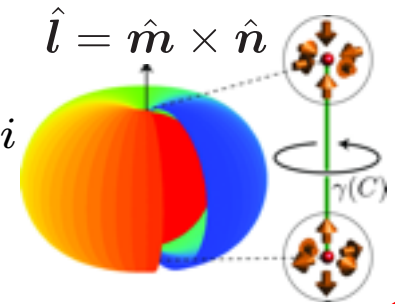
$$d_\mu(\mathbf{k}) = A_{\mu i} k_i$$

spin momentum

Anderson-Brinkman-Morel
(ABM) state

$$A_{\mu i} = \Delta_A \hat{d}_\mu (\hat{\mathbf{m}} + i\hat{\mathbf{n}})_i$$

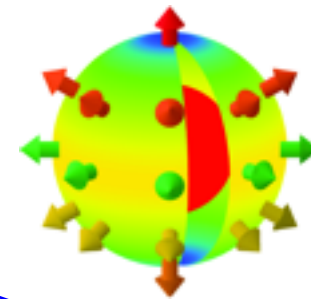
$$S_z = 0 \quad L_z = 1$$



Balian-Werthamer (BW) state

$$A_{\mu i} = \Delta_B \delta_{\mu i}$$

$$\mapsto J = 0$$





$$(k_x - ik_y)|S_z = 1\rangle + k_z|S_z = 0\rangle + (k_x + ik_y)|S_z = -1\rangle$$

Symmetry Breaking in Superfluid ^3He

Symmetry group of ^3He

neglect small residual interaction (dipole int.)

$$G = \text{SO}(3)_S \times \text{SO}(3)_L \times \text{U}(1)$$

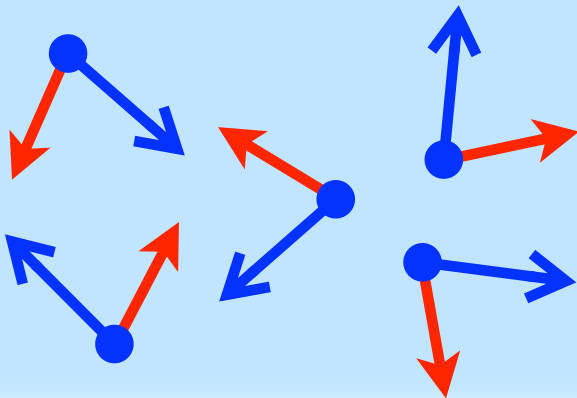
spin  orbital 

B-phase

$$H = \text{SO}(3)_{L+S}$$

$$S + L = 0$$

Spin-orbit locked phase

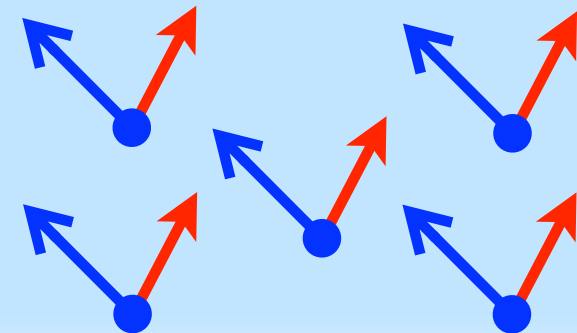


A-phase

$$H = \text{U}(1)_{L_z - \phi} \times \text{U}(1)_{S_z}$$

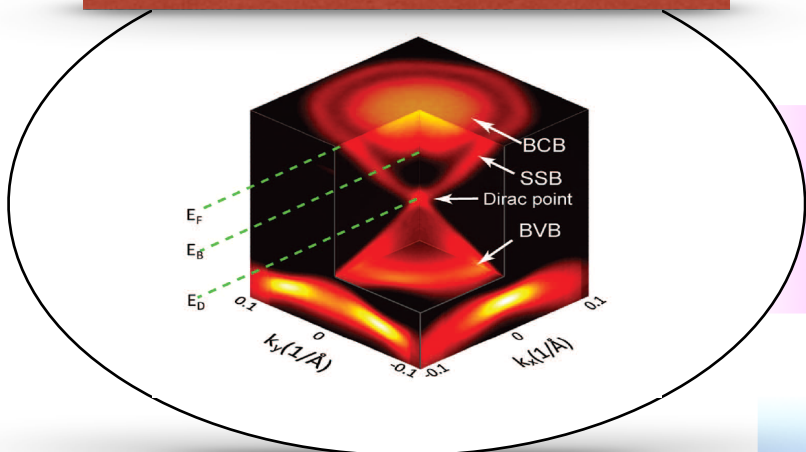
$$L_z = +1, S_z = 0$$

Spin & Orbital states are ordered



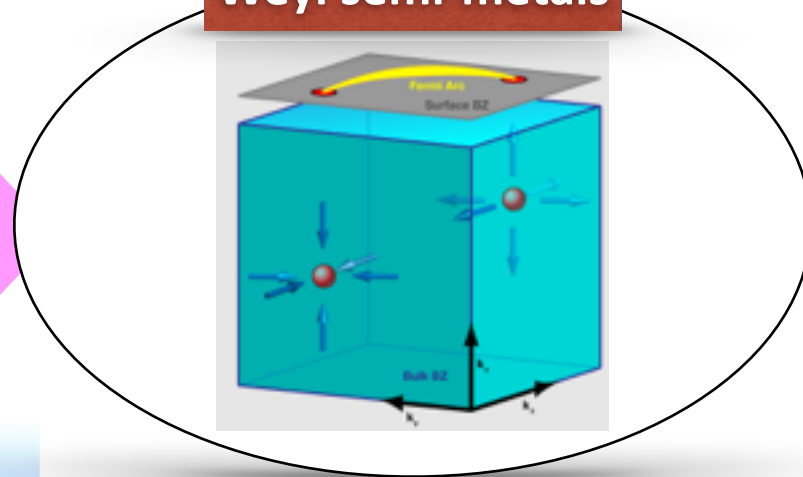
^3He : Paradigm for Topological Phenomena

TI w/ surface Dirac fermions



Time/Inversion
sym. breaking

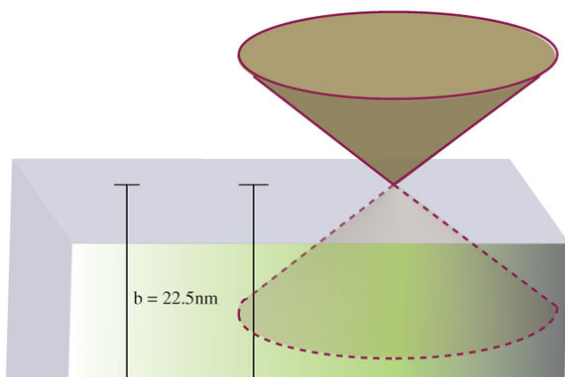
Weyl semi-metals



Particle-hole symmetry

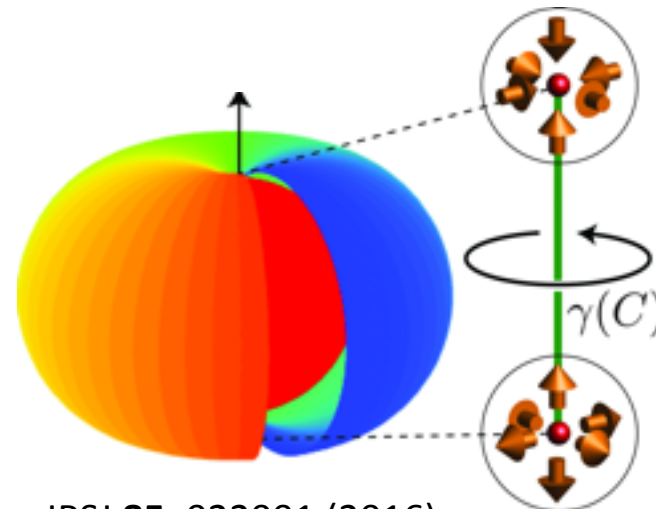
$^3\text{He-B}$: Surface Majorana fermion

Salomaa-Volovik (88);
Schnyder-Ryu-Furusaki-Ludwig (08); Qi-Hughes-Zhang (09);
Volovik (09); Chung-Zhang (09); Nagato-Higashitani-Nagai (09), ...



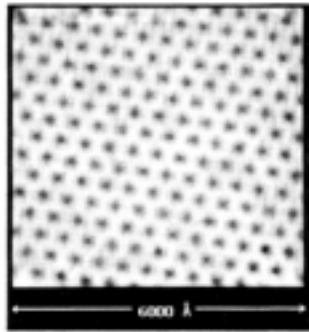
$^3\text{He-A}$: Weyl Superfluid

Volovik, JETP Lett **43**, 551 (1986);
Combescot and Dombre, PRB **33**, 79 (1986)



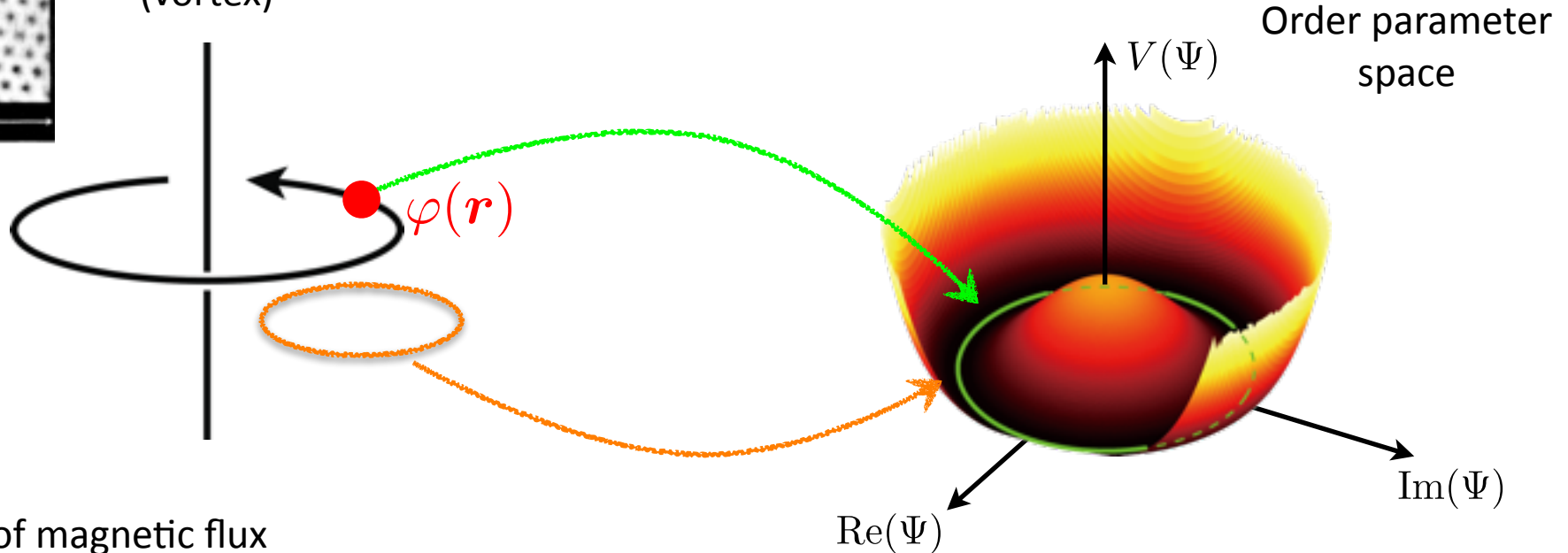
Review: **TM**, Y. Tsutsumi, T. Kawakami, M. Sato, M. Ichioka, K. Machida, JPSJ **85**, 022001 (2016)

Topology in Real Space



magnetic flux
(vortex)

$$\Psi(\mathbf{r}) = |\Psi(\mathbf{r})|e^{i\varphi(\mathbf{r})}$$



Quantization of magnetic flux

$$\Phi = \oint \mathbf{A} \cdot d\mathbf{r} = \frac{hc}{2e} w$$

winding number: Topological invariant

mapping from **real space** to **order parameter space**

$$\mathbf{A}(\mathbf{r}) = -i \frac{\hbar c}{2e} \frac{\nabla \Psi}{\Psi} = -i \frac{\hbar c}{2e} \frac{d\varphi(\theta)}{d\theta} \hat{e}_\theta$$

$$\pi_1[U(1)] = \mathbb{Z}$$

⇒ classification of ordered states

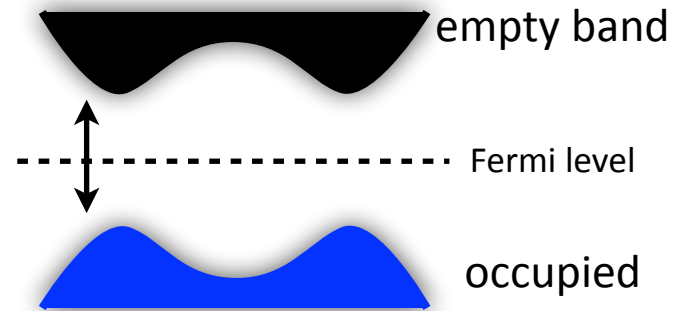
e.g., N. D. Mermin, Rev. Mod. Phys. **51**, 591 (1979)

Topology in Momentum Space

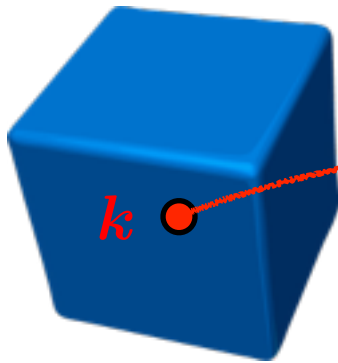
Minimal Hamiltonian: 2x2 Hermitian matrix

$$\mathcal{H}(\mathbf{k}) = \begin{pmatrix} m_0 + m_z & m_x - im_y \\ m_x + im_y & m_0 - m_z \end{pmatrix} \mapsto \sum_j \hat{m}_j(\mathbf{k}) \sigma_j$$

uniquely parameterized with **unit vector** ($=S^2$)



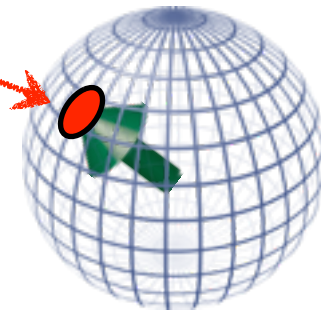
d -dim.
Brillouin zone



$\pi_d(S^2)$

the map of \mathbf{k} -points to
the Hilbert space (S^2)

target space S^2



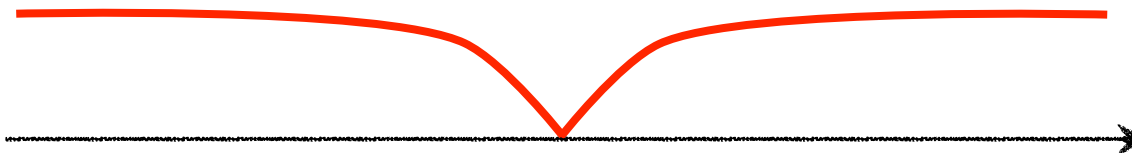
topologically nontrivial



trivial



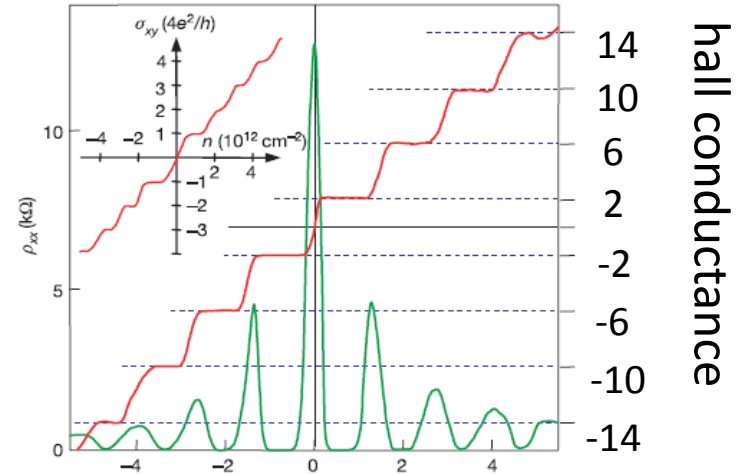
fermion
excitation



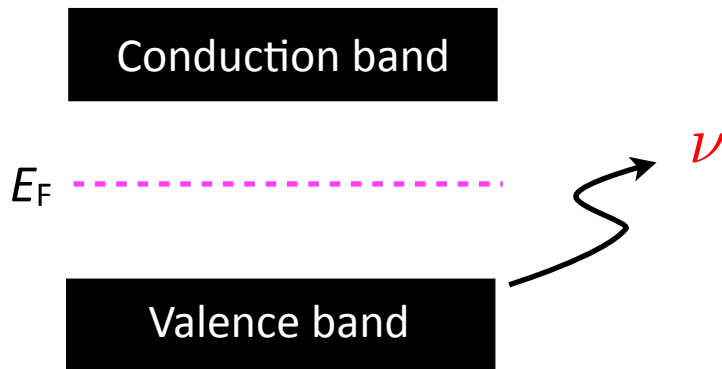
Quantum Hall States

Quantization of Hall conductance

$$\sigma_{xy} = \frac{e^2}{h} \nu$$



Bulk topology



ν = Chern number (winding number)
topological invariant in k -space (BZ)

Thouless-Kohmoto-Nightingale-Nijs, PRL **49**, 405 (1982)
Kohmoto, Ann. Phys. **160**, 343 (1985)

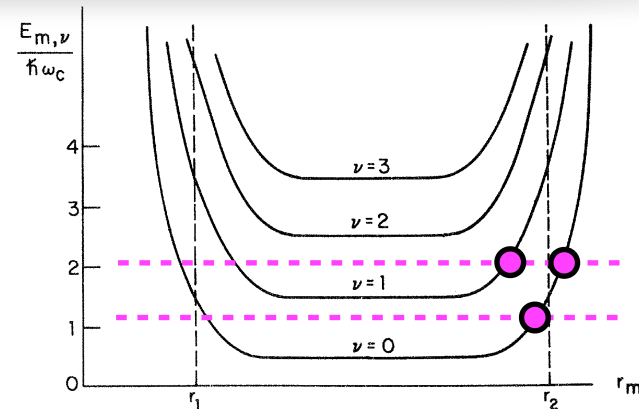
New classification of quantum states

Edge states

Halperin, PRB **25**, 2185 (1982)

2-dim electrons under a high field
with “boundaries”

ν = # of gapless edge states



Bulk-edge correspondence: topological invariant = # of gapless edge states

Hatsugai, PRL '93; PRB '93;

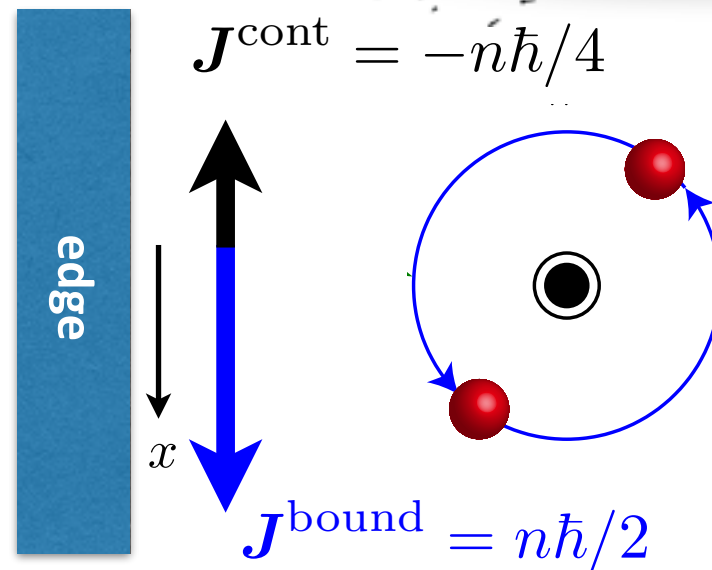
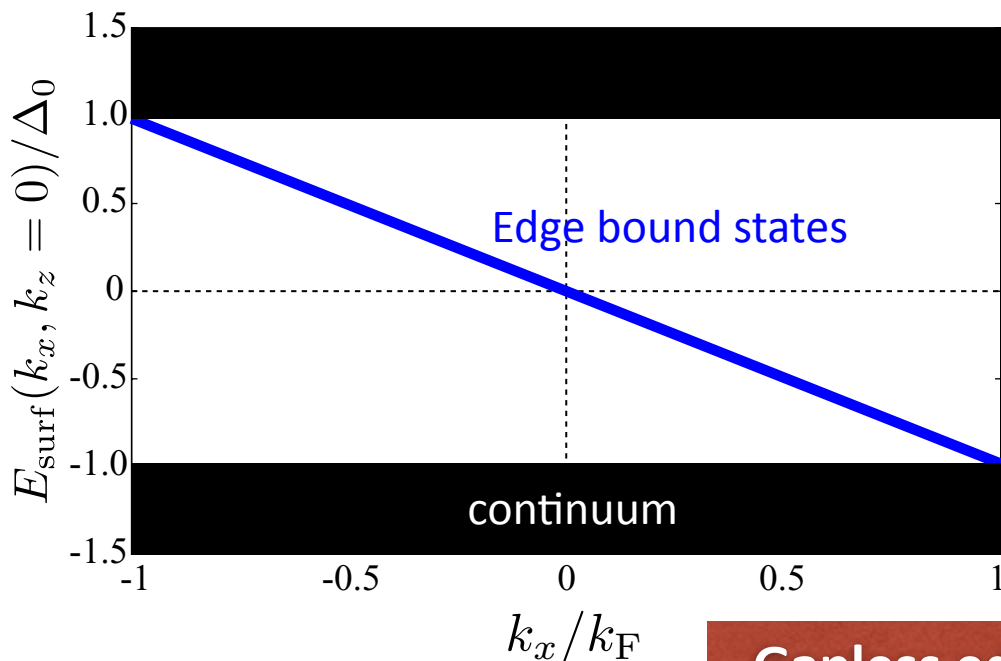
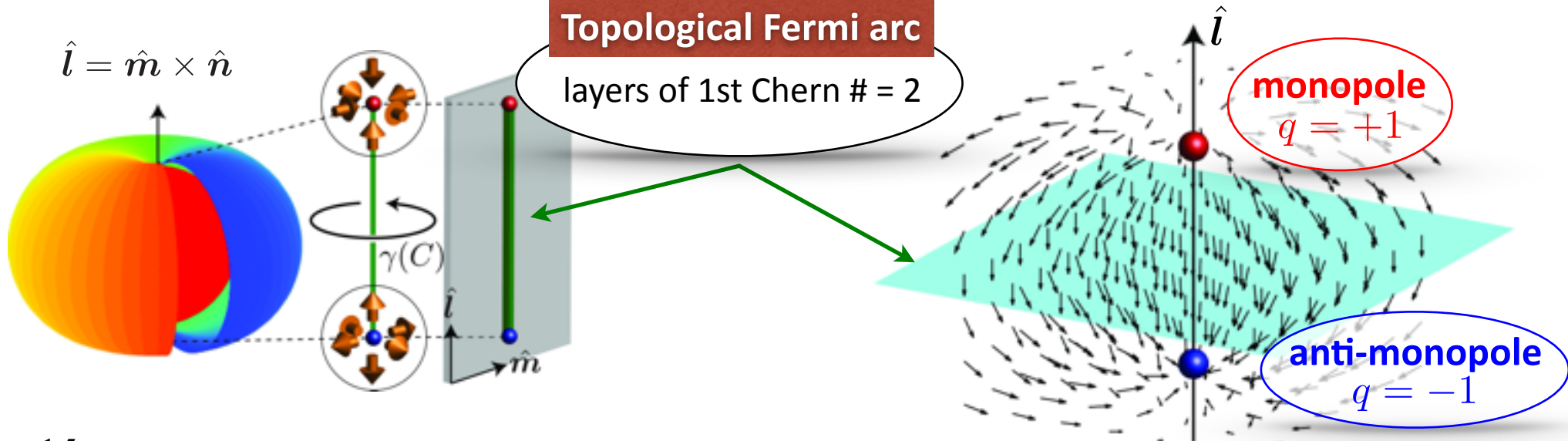
Berry Curvature & Edge States in $^3\text{He-A}$

$$d(\mathbf{k}) = \Delta_A \hat{z} (\hat{m} + i\hat{n}) \cdot \mathbf{k}$$

$$\text{Berry curvature } [\Omega_n(\mathbf{k})]_\mu = \epsilon_{\mu\nu\eta} \langle \partial_{k_\nu} u_n(\mathbf{k}) | \partial_{k_\eta} u_n(\mathbf{k}) \rangle$$

Topological Fermi arc

layers of 1st Chern # = 2



Gapless edge states carry macroscopic mass current

Weyl Fermions in $^3\text{He-A}$

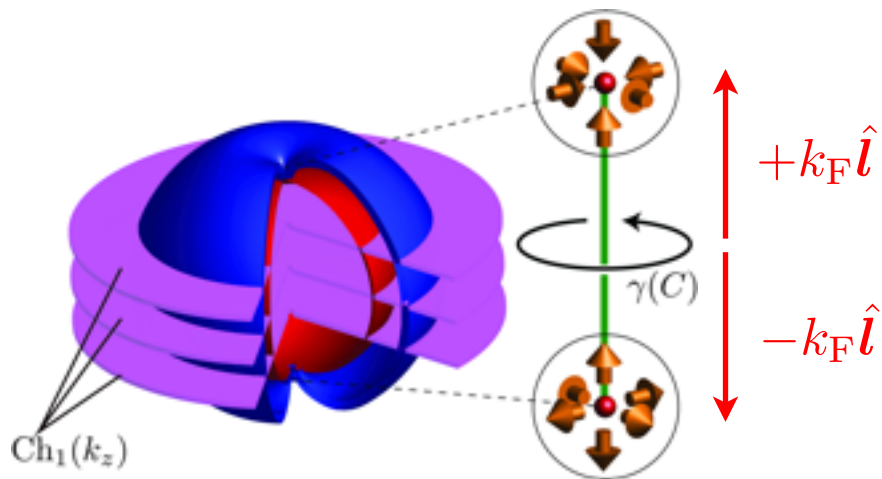
Bogoliubov quasiparticles around point nodes

Volovik (86); Combescot and Dombre (86)

$$\mathcal{H}(\mathbf{k}) = e_j^\mu \tau^j (k_\mu - k_F \hat{l}_\mu)$$

$$(e_1^\mu, e_2^\mu, e_3^\mu) = \left(\frac{\Delta}{k_F} \hat{m}_\mu, \frac{\Delta}{k_F} \hat{n}_\mu, v_F \hat{l}_\mu \right)$$

vielbein: dislocation/defect in spatial coordinates induces gauge field (e.g., Sumiyoshi-Fujimoto, PRL (16))



Weyl fermions

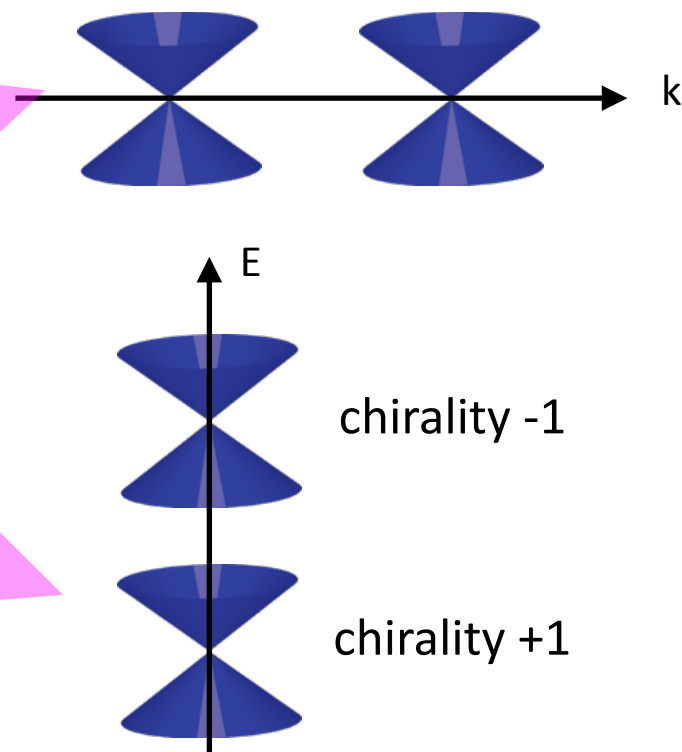
$$\mathcal{H} = \pm \boldsymbol{\sigma} \cdot \mathbf{k}$$



massless Dirac fermion
doubly degenerate
chirality + or -

time-reversal sym.
breaking

inversion sym.
breaking



Weyl Fermions in $^3\text{He-A}$

Bogoliubov quasiparticles around point nodes

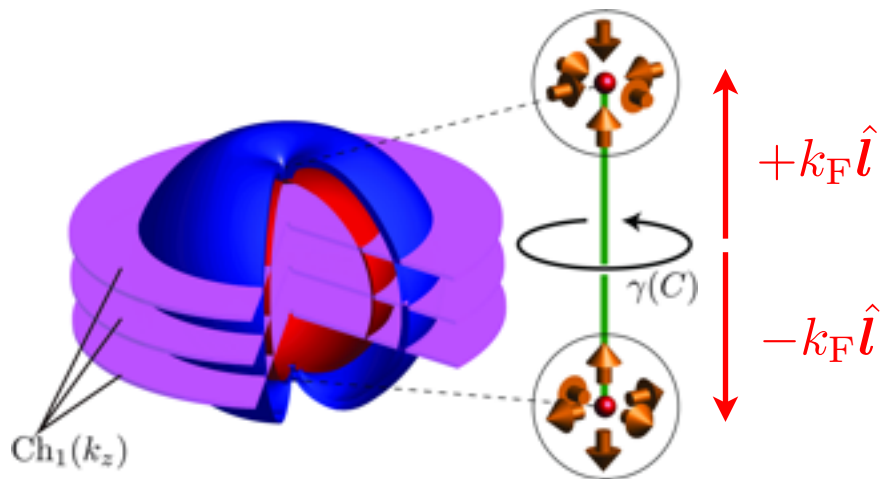
Volovik (86); Combescot and Dombre (86)

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vielbein: dislocation/defect in spatial coordinates induces gauge field (e.g., Sumiyoshi-Fujimoto, PRL (16))

e.g., Salomaa-Volovik, RMP (87)

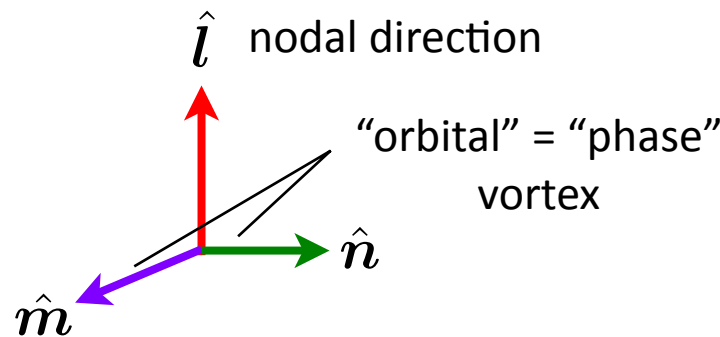
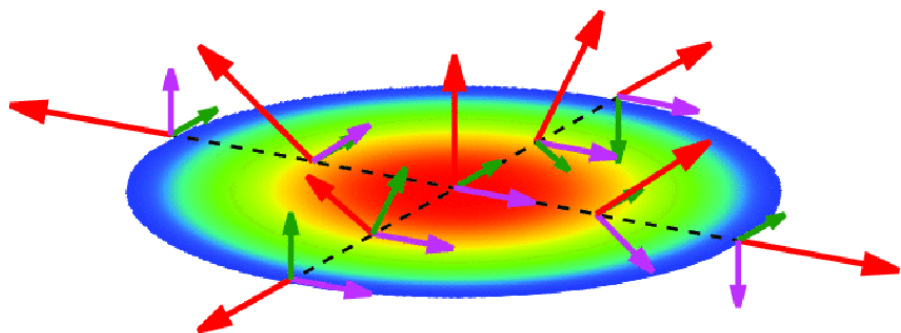


Broken Symmetry & skyrmion-vortex in $^3\text{He-A}$

$$d_{\mu i} = \Delta_A e^{i\varphi} \hat{d}_\mu (\hat{m} + i\hat{n})_i$$

Simultaneous gauge-orbit rotation:

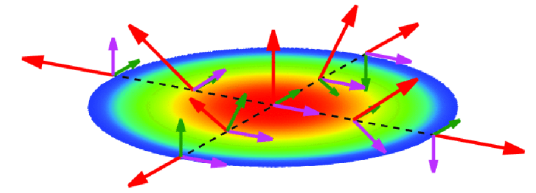
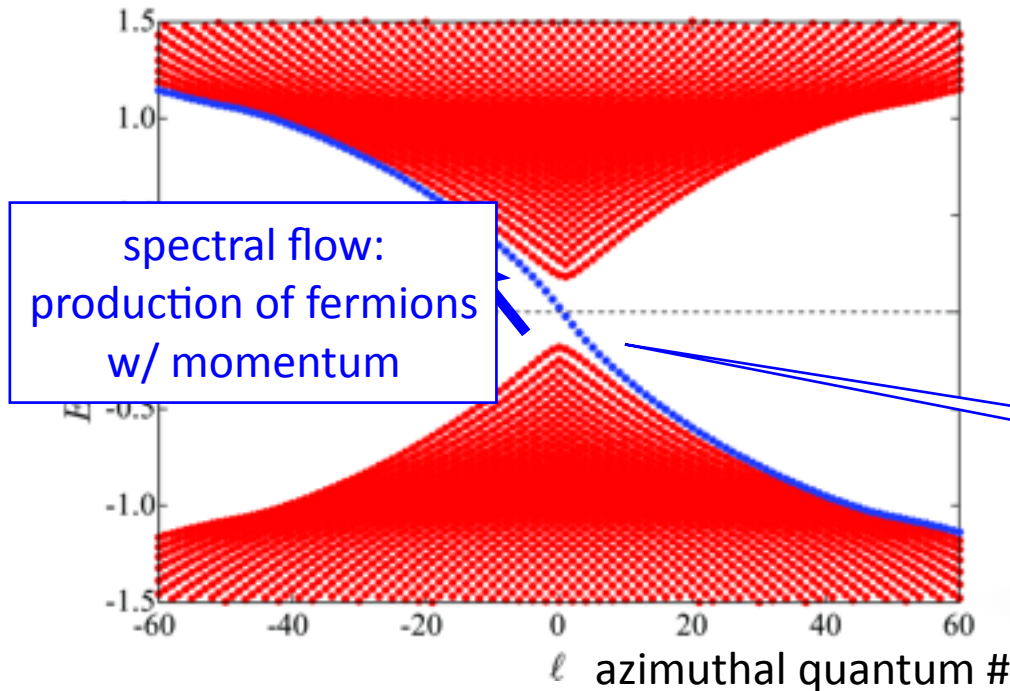
phase rotation (vortex) = orbital rotation about "l"



Emergent field in skyrmion-vortex (Mermin-Ho vortex)

Weyl Fermions & Anomaly in $^3\text{He-A}$

Bogoliubov QP spectrum w/ axial momentum $k_z = \pm k_F$



Weyl fermions w/
Emergent “toroidal” magnetic field

$$\mathbf{B}(\mathbf{r}) = k_F \nabla \times \hat{\mathbf{l}}(\mathbf{r})$$

chiral fermions

$$E_{n=0}(\ell, k_z) = -v\ell$$

Chiral Anomaly: back-action to skyrmion-vortex “Kopnin force”

- **Chiral anomaly:** Violation of momentum conservation in “fermions” as a consequence of real-space topology & Weyl-Bogoliubov QPs

$$\partial_t \mathbf{P}^{(F)} = C_0 \int d\mathbf{r} \hat{\mathbf{l}} \left(\partial_t \hat{\mathbf{l}} \cdot \nabla \times \hat{\mathbf{l}} \right) \propto \mathbf{E} \cdot \mathbf{B}$$

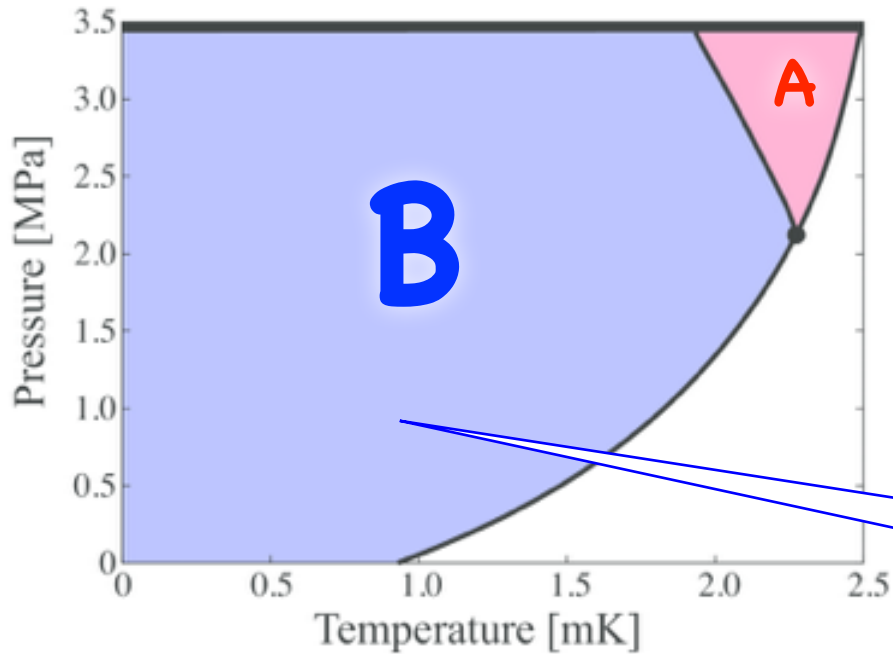
Exp.: Bevan *et al.*, Nature **386**, 689 (1997);
Volovik, JETP Lett. **103**, 140 (2016)

==> momentum transfer from WF to the “superfluid vacuum”

Superfluid $^3\text{He-B}$

Symmetry of Normal ^3He

$$G = \underset{\text{spin rotation}}{\text{SO}(3)_S} \times \underset{\text{momentum}}{\text{SO}(3)_L} \times \text{U}(1) \times \underset{\text{time-reversal sym.}}{T}$$



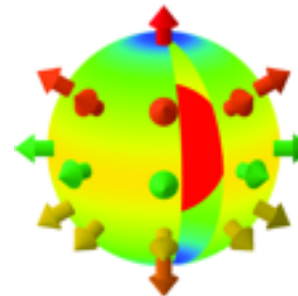
Spin triplet p -wave order parameter

$$S = 1 \quad L = 1$$

$$d_{\mu i}$$

spin momentum

B: "Isotropic" BW state



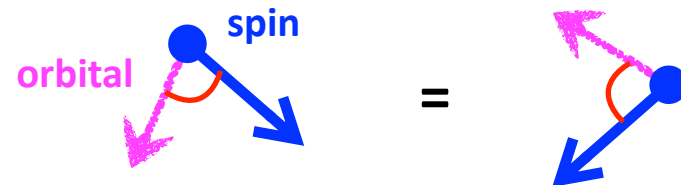
$$d_{\mu i} = \Delta_B \delta_{\mu i}$$

$$J = J_z = 0$$

$$\hat{k}_x + i\hat{k}_y \quad + \quad \hat{k}_z \quad + \quad -\hat{k}_x + i\hat{k}_y$$

$$|\downarrow\downarrow\rangle \quad + \quad |\uparrow\downarrow + \downarrow\uparrow\rangle \quad + \quad |\uparrow\uparrow\rangle$$

Spontaneous breaking of spin-orbit symmetry



Spin-orbit coupling emergent in SF vacuum

Majorana Fermions

perspective

Majorana returns

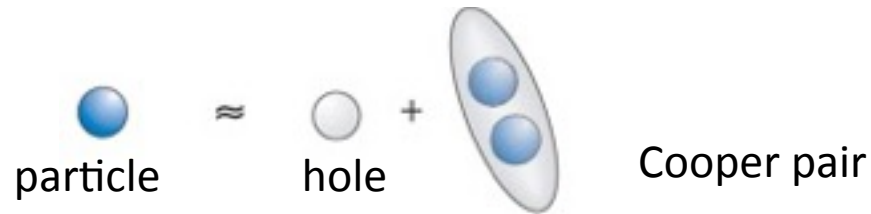
Frank Wilczek

In his short career, Ettore Majorana made several profound contributions. One of them, his concept of 'Majorana fermions' — particles that are their own antiparticle — is finding ever wider relevance in modern physics.

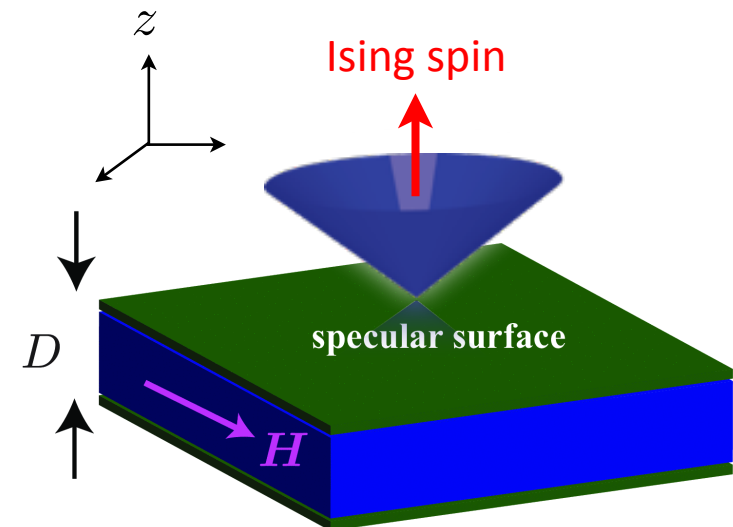
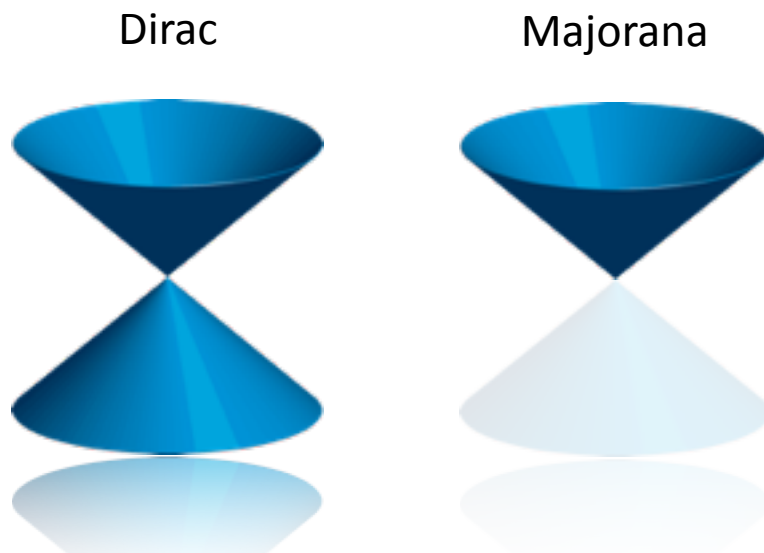


Ettore Majorana

$$\psi_M = C\psi_M$$



Majorana fermions: self-charge-conjugation



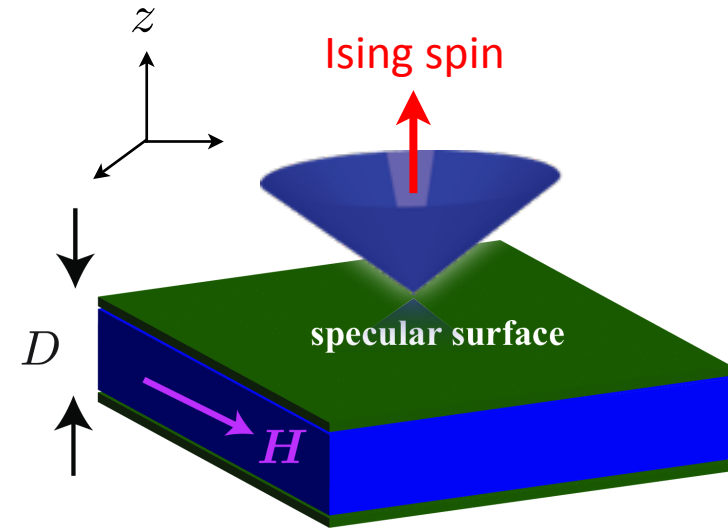
Majorana "Ising" Spin

Volovik; Chung-Zhang; Nagato-Higashitani-Nagai; TM-Sato-Machida, ...

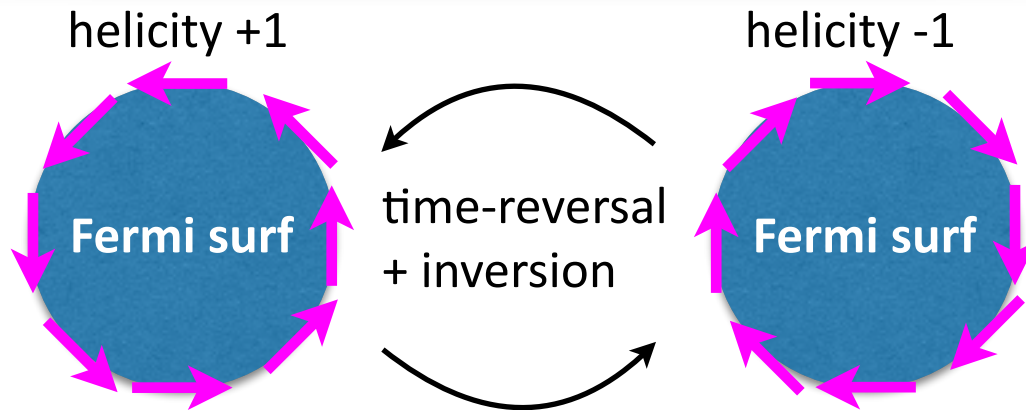
$$i\psi_{\uparrow}(\mathbf{r}) = \psi_{\downarrow}^{\dagger}(\mathbf{r})$$

$$\rho(\mathbf{r}) = 0 \quad \mathbf{S} = (0, 0, S_z)$$

Surface MF in $^3\text{He-B}$ possess only Ising spin
 \Rightarrow not detectable through density fluctuation

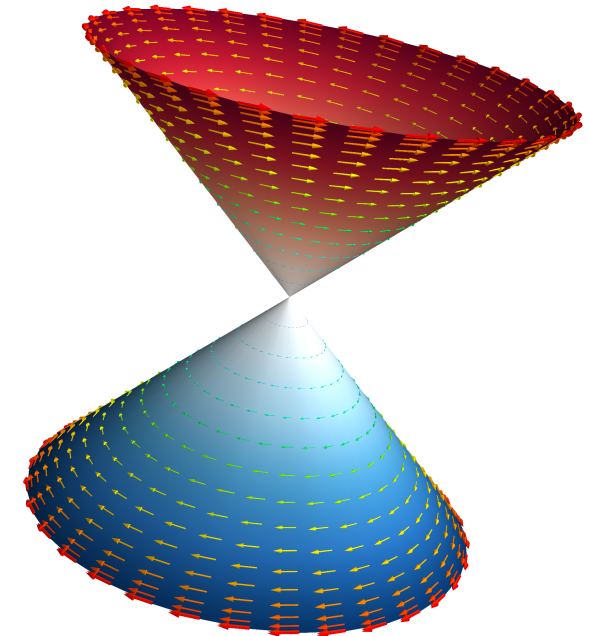


$^3\text{He-B}$: spontaneously broken spin-orbit symmetry



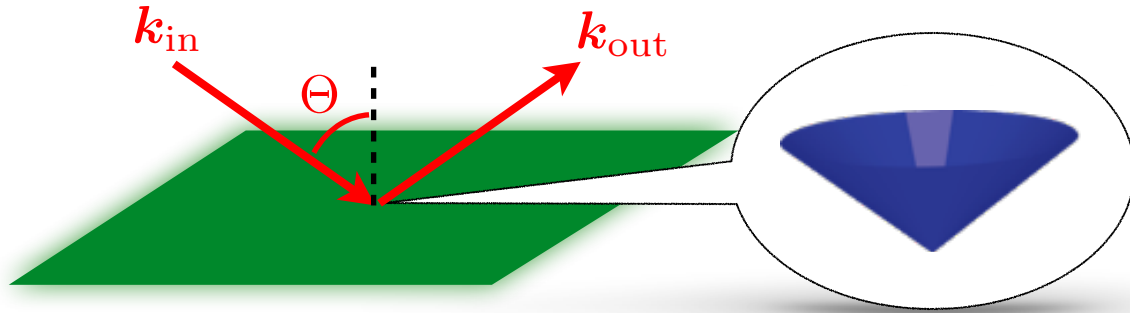
Inversion symmetry is locally broken at surface
 \Rightarrow opposite surface MF has opposite helicity

Spin current on surface



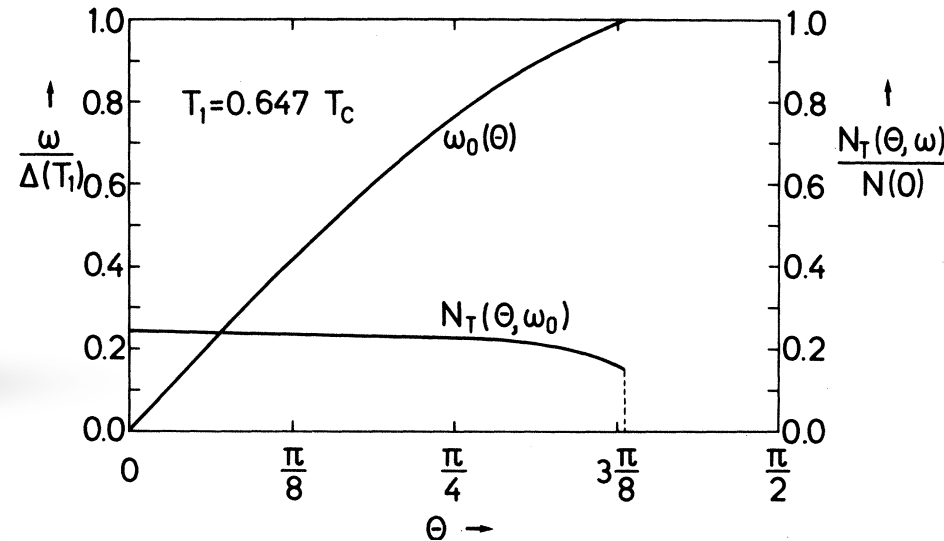
Andreev Bound States

Buchholtz and Zwicknagl, PRB **23**, 5788 (1981)

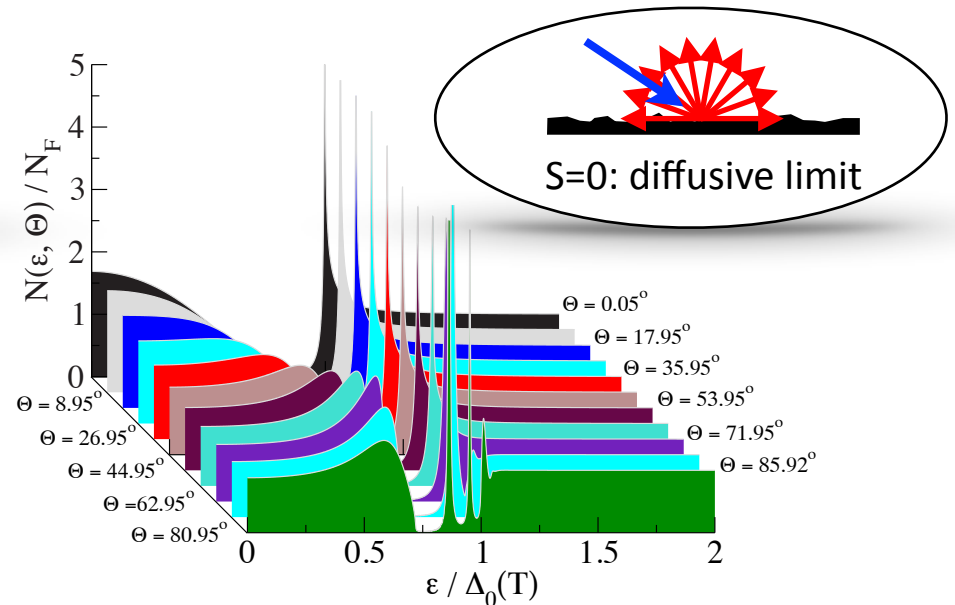
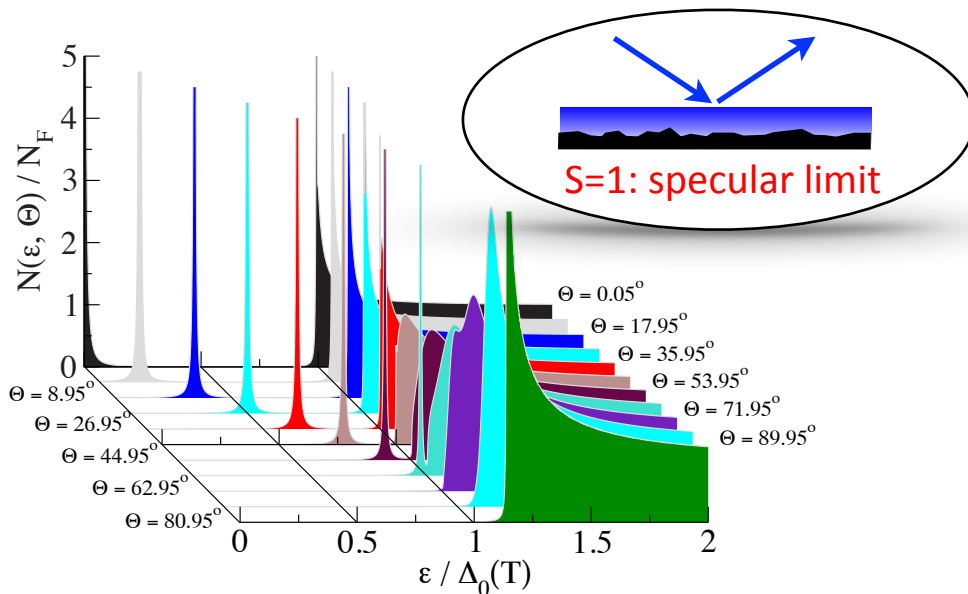


Formation of Andreev bound states

Dispersion of surface bound states



Vorontsov and Sauls, PRB **68**, 064508 (2003)

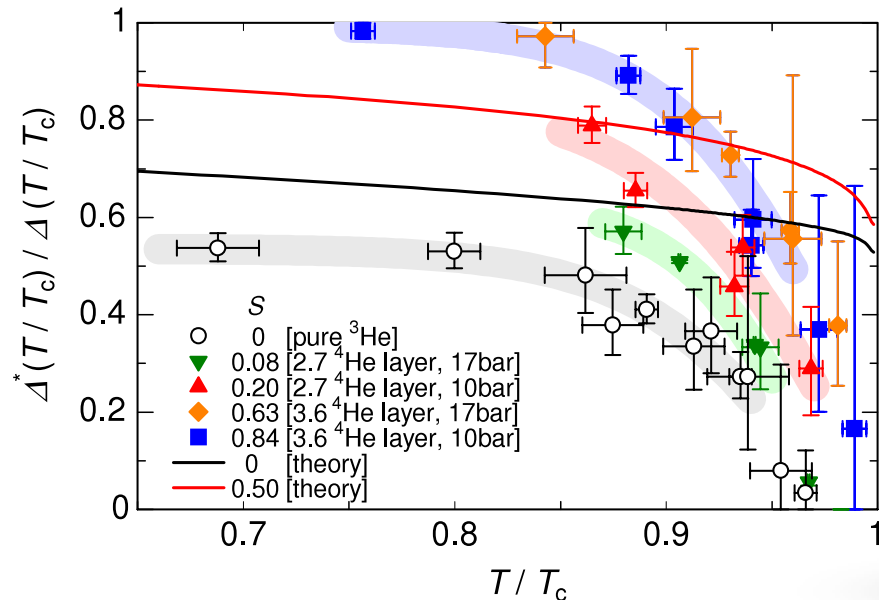
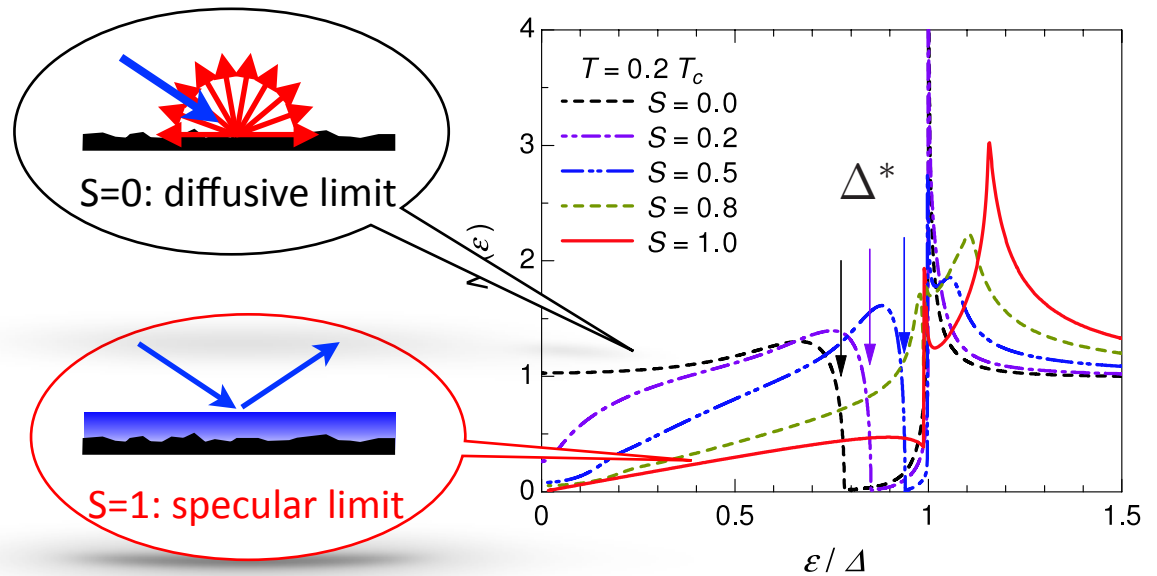
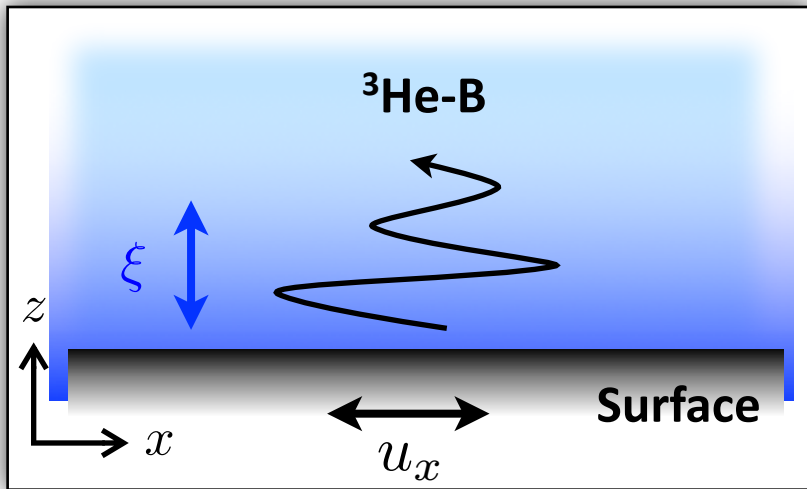


Sub-gap structure: anomalous scattering of MF in the presence of disorder (Nagato *et al.* JPSJ (11))

Detecting Surface States

Transverse acoustic impedance

Murakawa *et al.*, PRL **103**, 155301 (2009); JPSJ **80**, 013602 (2011)



sub-gap structure: anomalous scattering of MF in the presence of disorder (Nagato et al.)

Spectroscopy of surface density of states (sub-gap structure & formation of “cone”)

Detection of the topological properties of surface states ?
Majorana Ising spin and mass acquisition...

OUTLINE

^3He and Neutron Stars

1. ^3He -A: Weyl fermions & chiral anomaly
2. ^3He -B: Topology & Majorana fermions

Topological $^3\text{P}_2$ Superfluids in Neutron Stars

Nambu Sum Rule

Topological Phases in Confined Superfluid ^3He -B

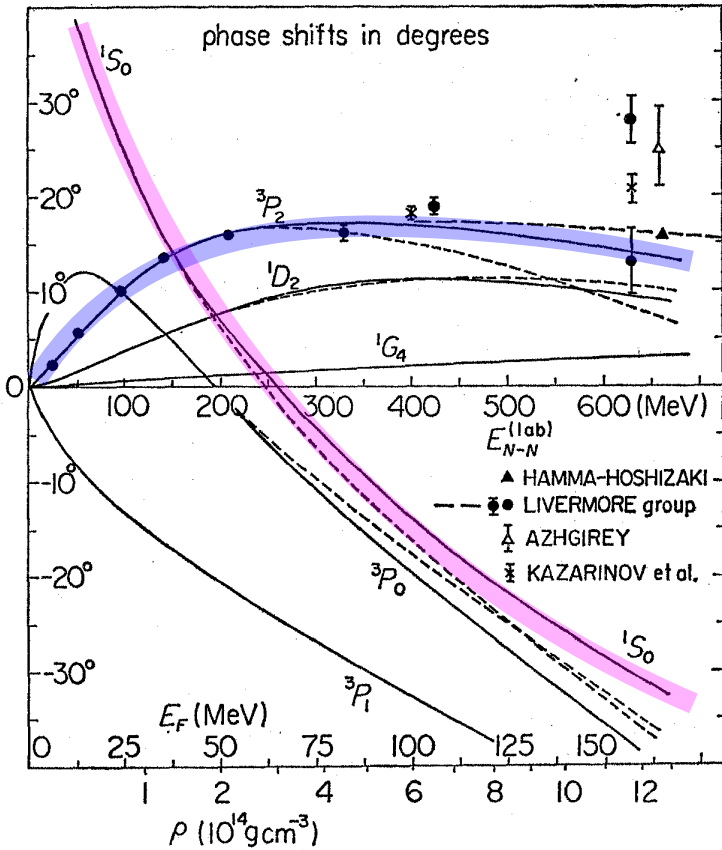
TM, K. Masuda, and M. Nitta, arXiv:1607.07266

J. A. Sauls and **TM**, arXiv:1611.07273 (PRB in press)

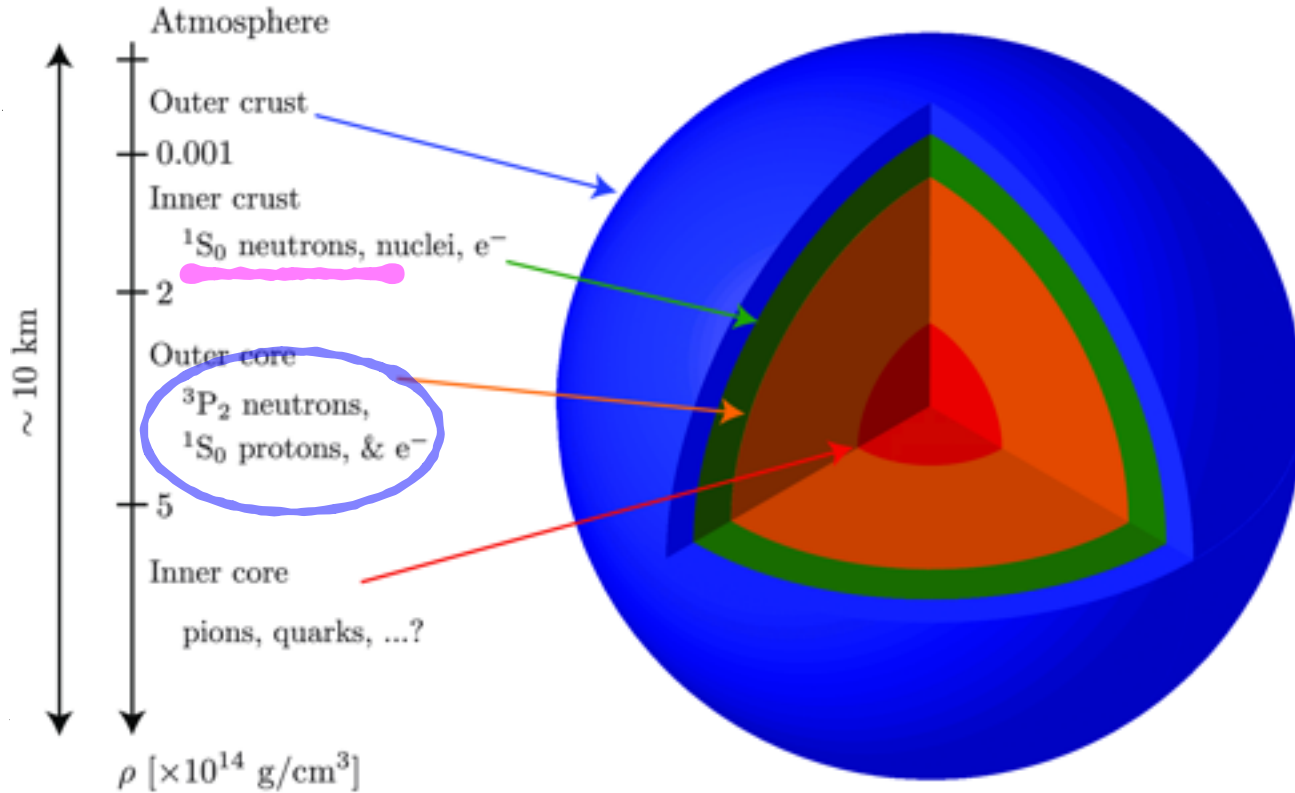
TM, Y. Tsutsumi, T. Kawakami, M. Sato, M. Ichioka, K. Machida, JPSJ **85**, 022001 (2016)

Superfluidity in Neutron Star Interiors

Tamagaki, PTP **44**, 905 (1970)



repulsive core + attractive LS force



3P_2 (spin-triplet $J=2$) pairing

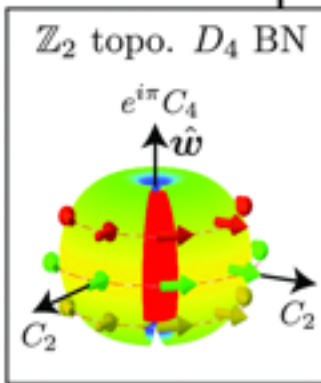
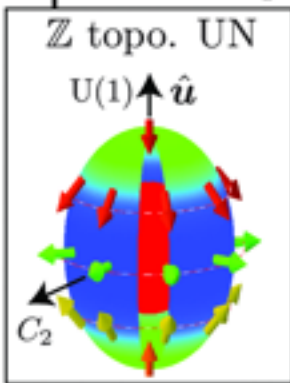
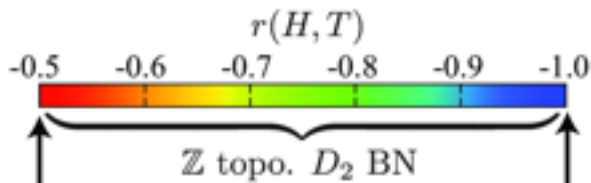
- ✓ CAS-A: $3P_2$ may explain the rapid cooling (Page *et al.* (2011))
- ✓ Magnetars: exotic pairing under high magnetic field $\sim 10^{15} \text{G}$
- ✓ Proton superconductors: Type-I or II (*e.g.*, Link (2003))?

3P_2 Superfluid Phase Diagram

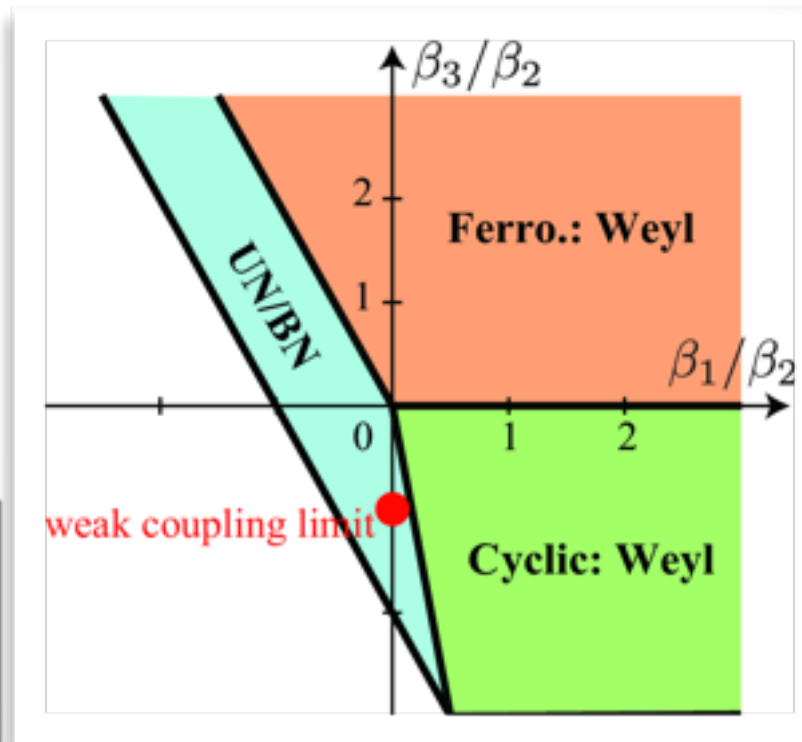
$$\begin{array}{ccc}
 \text{spin momentum } A_{\mu i} & \xrightarrow{J=2} & A_{\mu i} = A_{i\mu} \\
 & & \text{Tr}[A] = 0
 \end{array}$$

Nematic phase

$$A_{\mu i} = \begin{pmatrix} 1 & & \\ & r & \\ & & -1 - r \end{pmatrix}$$

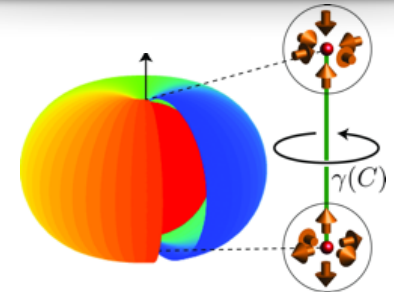


3P_2 : symmetric traceless



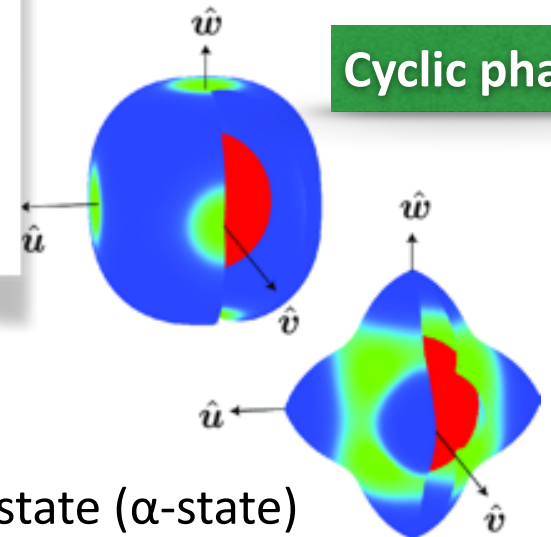
Sauls and Serene, PRD **17**, 1524 (1978)

Ferromagnetic phase



orbital ferro. & spin ferro.
= $^3\text{He-A}_1$

Cyclic phase



Non-unitary state (α -state)

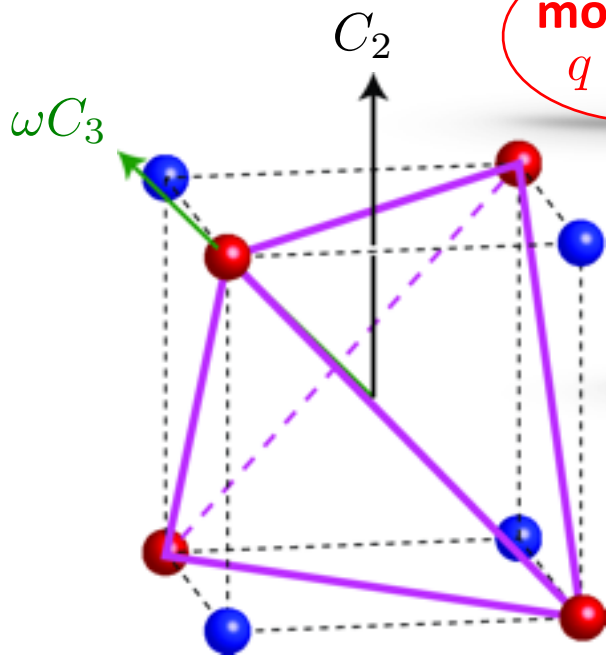
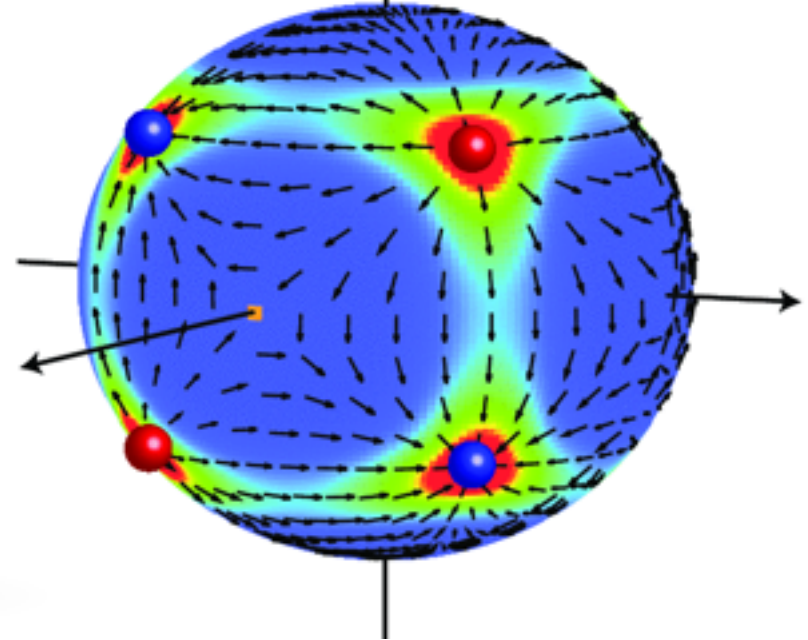
Weyl fermions in Cyclic States

TM, K. Masuda, M. Nitta, arXiv:1607.07266

$$d(\mathbf{k}) = \Delta(\hat{\mathbf{a}}\hat{k}_a + \omega\hat{\mathbf{b}}\hat{k}_b + \omega^2\hat{\mathbf{c}}\hat{k}_c)$$

$$\omega^3 = 1$$

Berry curvature in k -space



monopole
 $q = +1$

anti-monopole
 $q = -1$

Particle-hole symmetric quartet of Weyl fermions

$$\mathcal{H}(\mathbf{k}) = \hat{e}_a^\mu \tau^a (k_\mu - q k_{\alpha,\mu})$$

nodal position

monopole charge

Anomalous transport due to chiral anomaly(?)

Topological Defects in 3P_2 Superfluids

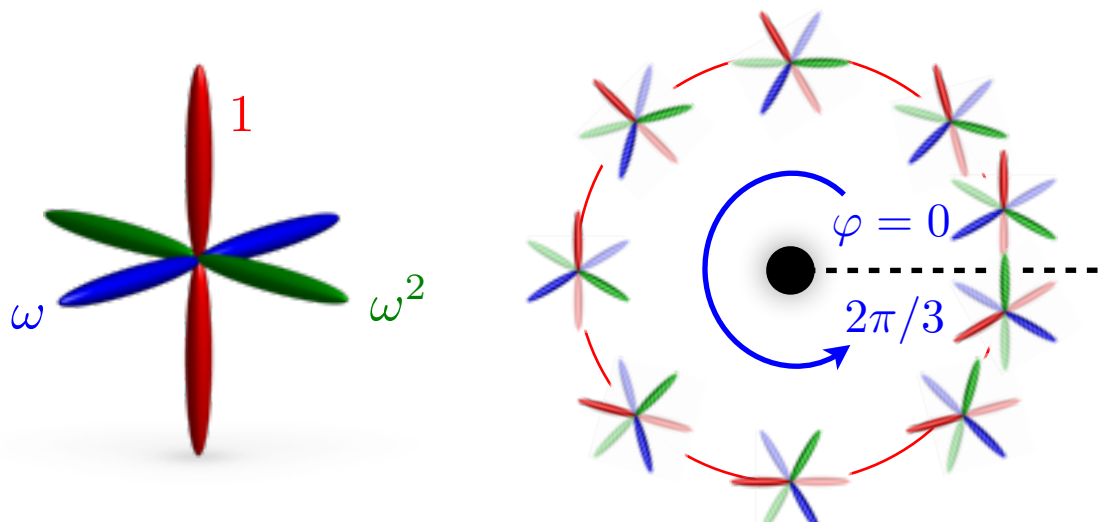
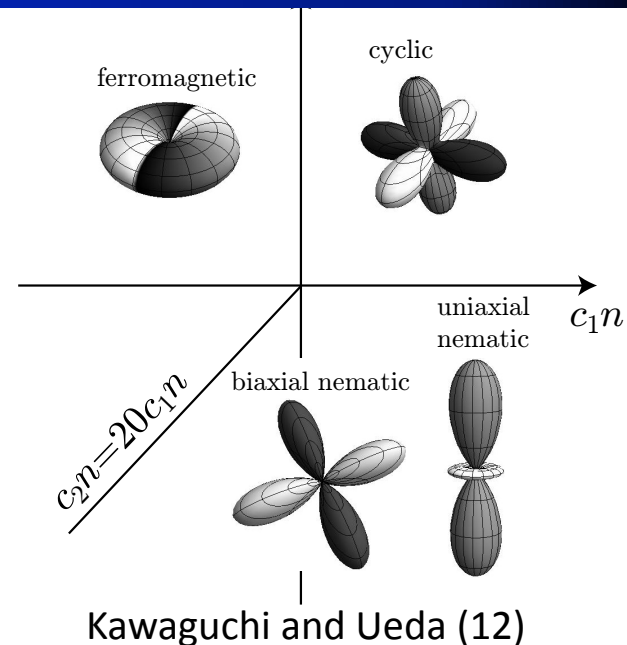
Symmetric traceless tensor: 5-component

$$d_{\mu i} = \begin{pmatrix} d_{xx} & d_{xy} & d_{zz} \\ & d_{yy} & d_{yz} \\ & & d_{zz} \end{pmatrix} \Rightarrow \text{spin-2 BEC (e.g., } ^{87}\text{Rb atoms)}$$

Non-Abelian fractional vortices: Non-comm. topological charge

Kobayashi, Kawaguchi, Nitta, Ueda, PRL **103**, 115301 (2009)

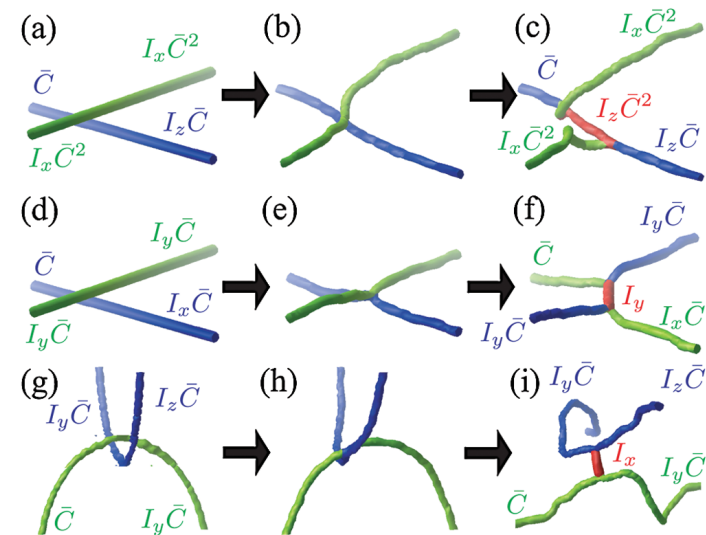
Kawaguchi and Ueda, Phys. Rep. **520**, 253 (2012)



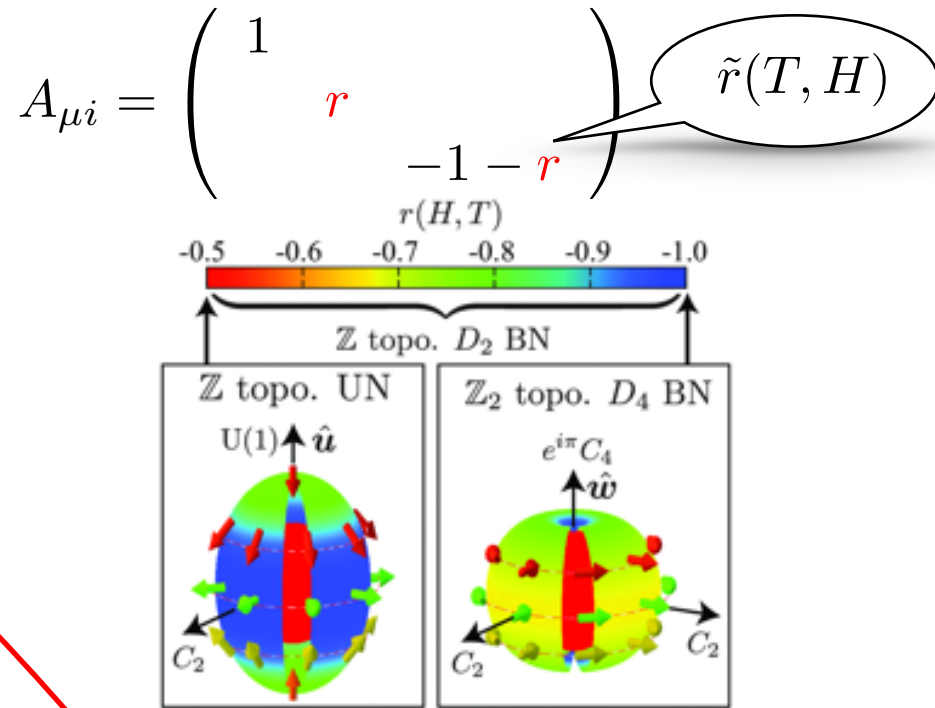
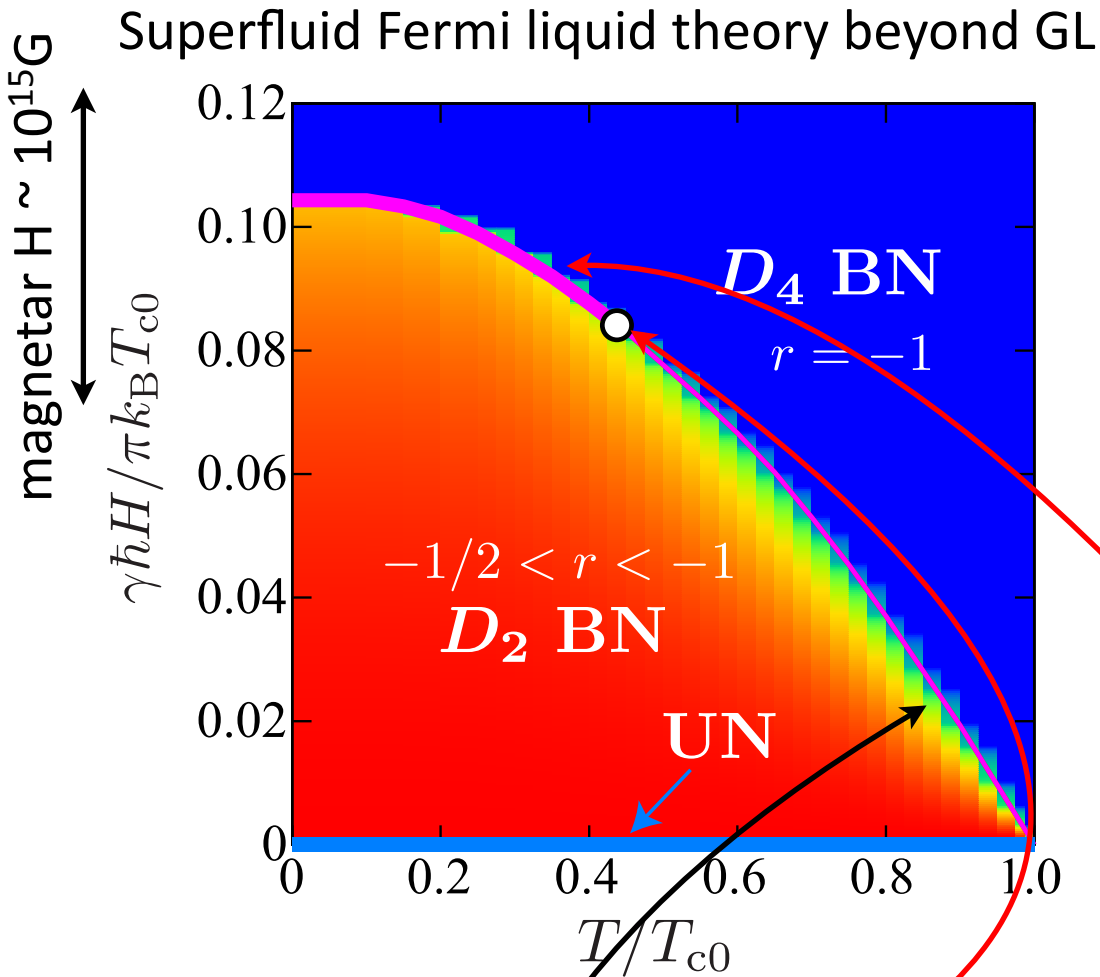
Non-Abelian anyons in Non-Abelian vortex?

Collision dynamics

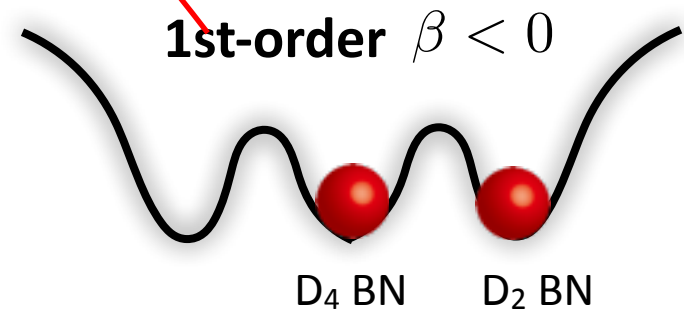
M. Kobayashi, et al., PRL (09)



Phase Diagram under Magnetic Fields



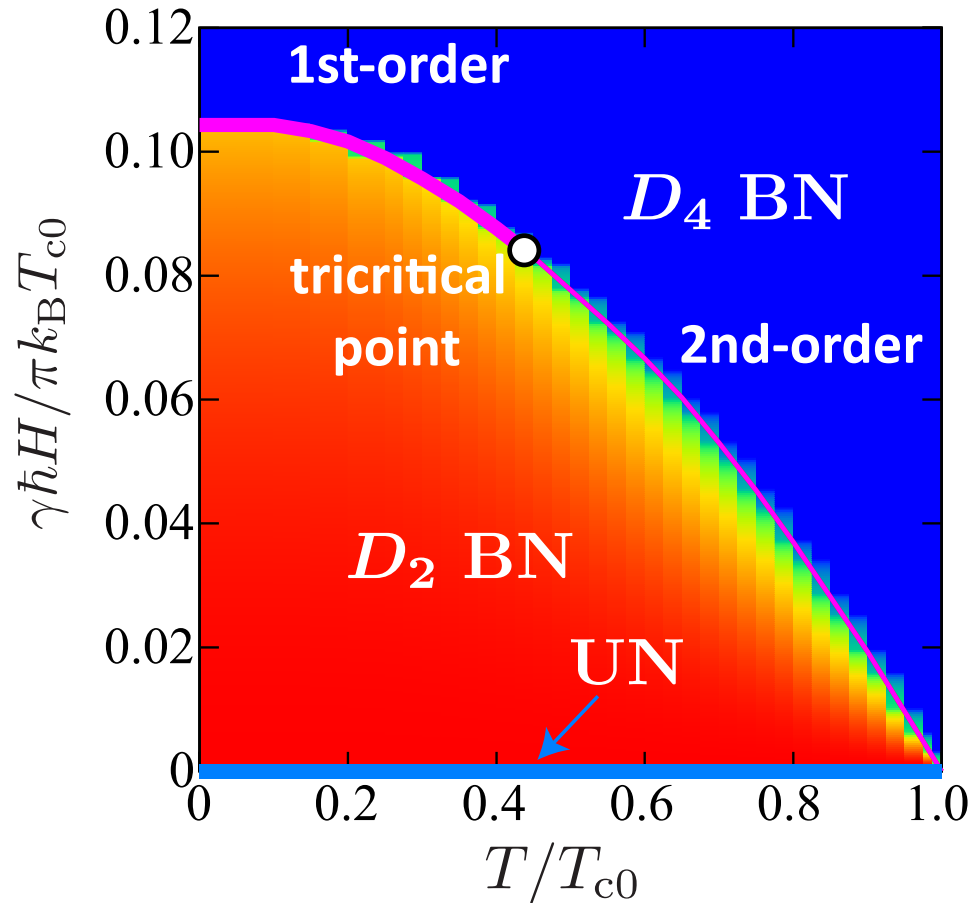
$$f(\tilde{r}) = \alpha |\tilde{r}|^2 + \beta |\tilde{r}|^4 + \gamma |\tilde{r}|^6$$



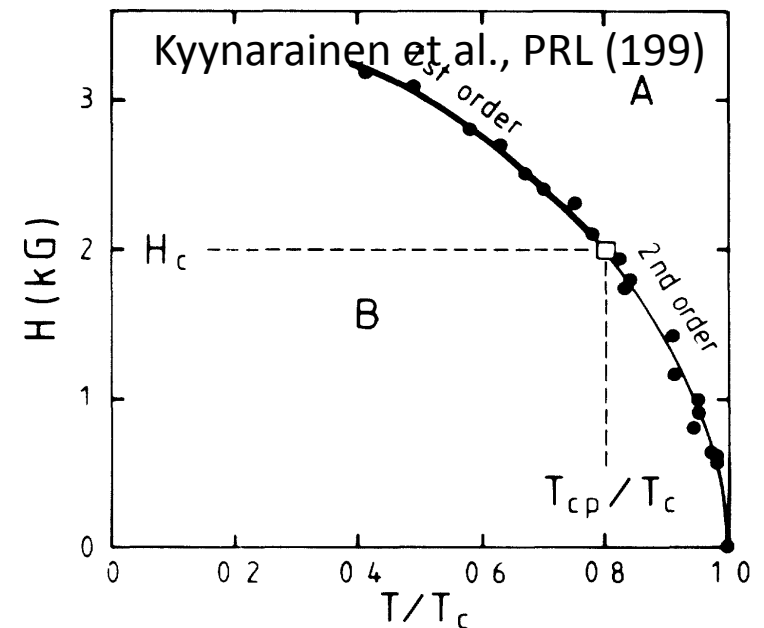
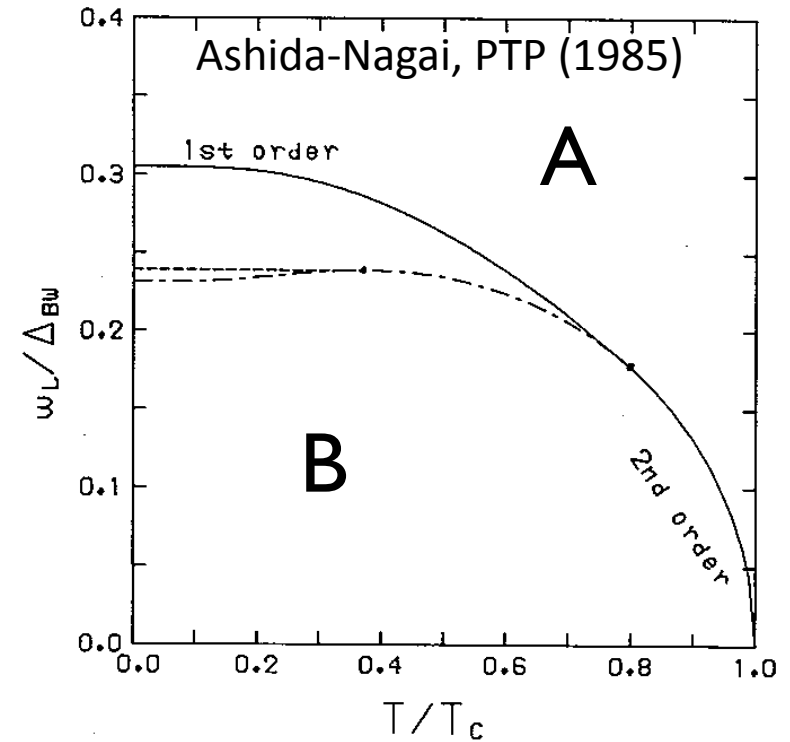
bosonic fluctuations developed at TCP?

Phase Diagram under Magnetic Fields

Superfluid Fermi liquid theory
beyond GL



- ✓ No experimental evidence of TCP in ^3He
- ✓ Theoretical predictions to (in)stability of **FFLO**
- ✓ BW/Nematic is responsible for Pauli depairing



Connection of 3P_2 to Solid States

TM, K. Masuda, M. Nitta, arXiv:1607.07266

O_h

E_u $d(\mathbf{k}) = \eta_1 d_1(\mathbf{k}) + \eta_2 d_2(\mathbf{k})$

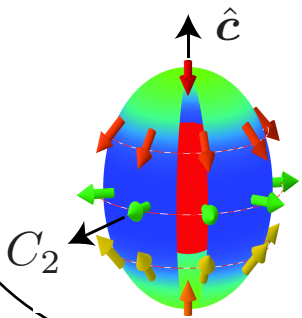
$d_1(\mathbf{k}) = 2\hat{c}\hat{k}_c - \hat{a}\hat{k}_a - \hat{b}\hat{k}_b$

$d_2(\mathbf{k}) = \sqrt{3}(\hat{a}\hat{k}_a - \hat{b}\hat{k}_b)$

UBe₁₃?

uniaxial nematic

$(\eta_1, \eta_2) = (1, 0)$



time-reversal invariant odd-parity

full gap: **BW**

Nodal: **Planar**

Z topo.

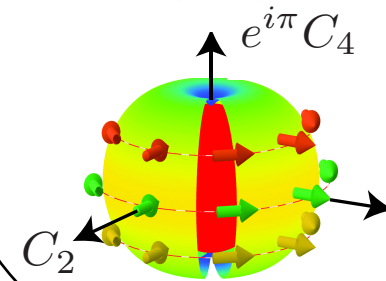
Z₂ topo

Majorana **cone**

Majorana **Fermi arc**

biaxial D_4 nematic

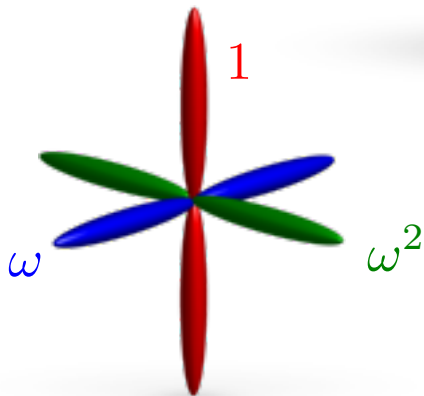
$(0, 1)$



Cyclic: time-reversal **BROKEN** odd-parity

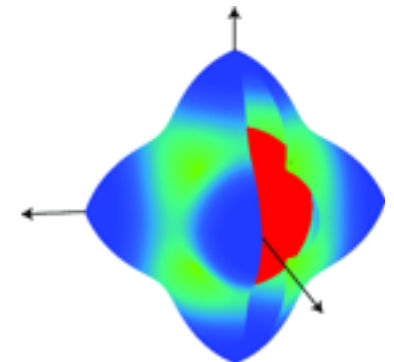
$(\eta_1, \eta_2) = (1, \pm i)$

non-unitary: no counterpart in ^3He

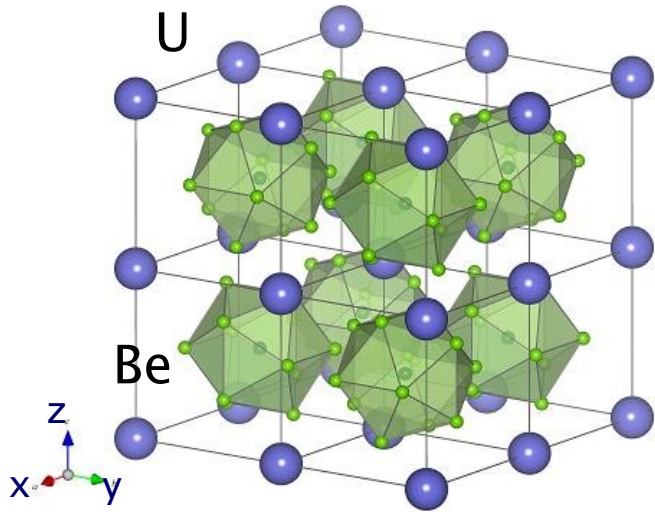


$d(\mathbf{k}) = \Delta(\hat{a}\hat{k}_a + \omega\hat{b}\hat{k}_b + \omega^2\hat{c}\hat{k}_c)$ $\omega^3 = 1$

non-unitary state w/ full gap and 8 point nodes



Unconventional Superconductivity in Cubic Metals



Possible cubic material: **UBe₁₃**

- ✓ Heavy fermion: $\gamma(T) = C(T)/T \sim 1 \text{ J/molK}^2$
- ✓ Non-Fermi liquid behavior: $C(T)/T \sim -\log T$
- ✓ “Unconventional” SC at $T_c = 0.85 \text{ K}$

full gap or point node?

Multiple superconducting phases



Multicomponent OP: spin or orbital?

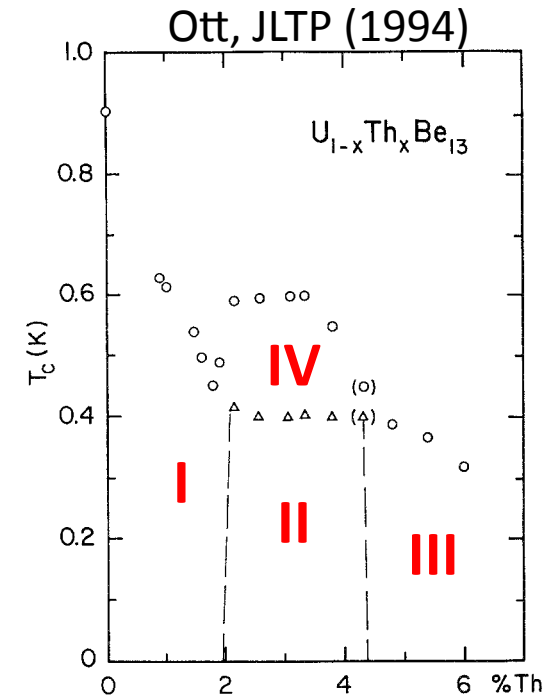
Spin singlet or triplet?

- ✓ NMR Knight shift unchanged \Rightarrow triplet?

Tien *et al.*, PRB (1989)

- ✓ μ SR Knight shift slightly decreases at low-T \Rightarrow singlet?

Sonier *et al.*, Physica B (2003)



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TM, K. Masuda, and M. Nitta, arXiv:1607.07266

J. A. Sauls and **TM**, arXiv:1611.07273 (PRB in press)

TM, Y. Tsutsumi, T. Kawakami, M. Sato, M. Ichioka, K. Machida, JPSJ **85**, 022001 (2016)

Bosons and Fermions in $^3\text{He-B}$

Spontaneous spin-orbit symmetry breaking: Emergence of spin-orbit interaction

4 Nambu-Goldstone modes + 14 massive bosonic modes

$$\text{SO}(3)_S \times \text{SO}(3)_L \times \text{U}(1) \longrightarrow \text{SO}(3)_J \quad J = 0$$

$\text{SO}(3)_{L-S} \times \text{U}(1)$ phase & spin-orbit modes

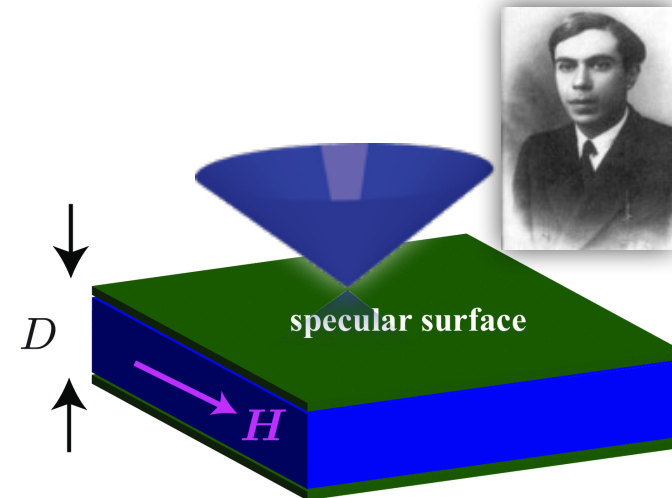
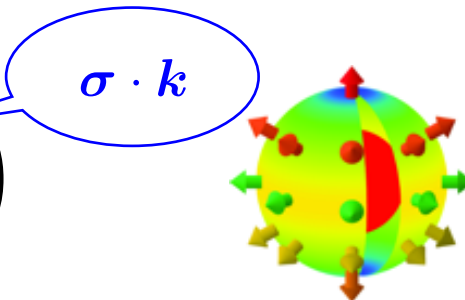
Long-lived amplitude “Higgs” modes

Topologically protected “Majorana” fermions

Emergent SOI is the source of nontrivial topology

$^3\text{He-B}$: DIII topological superfluid

$$\mathcal{H}(\mathbf{k}) = \begin{pmatrix} \varepsilon(\mathbf{k}) & \Delta(\mathbf{k}) \\ \Delta^\dagger(\mathbf{k}) & -\varepsilon^t(-\mathbf{k}) \end{pmatrix}$$



Salomaa-Volovik (88);

Schnyder-Ryu-Furusaki-Ludwig (08); Qi-Hughes-Zhang (09);

Volovik (09); Chung-Zhang (09); Nagato-Higashitani-Nagai (09), ...

Paradigm for interplay between bosonic excitations and topological fermions

Consequences of Spontaneous Symmetry Breaking: "Higgs"

U(1) Higgs model: $\mathcal{L} = -\frac{1}{4}F_{\mu\nu}F^{\mu\nu} + (D_\mu\varphi)^\dagger D^\mu\varphi - \mathcal{V}[\varphi, \varphi^\dagger]$ Higgs, PRL (1964)

$$F_{\mu\nu} \equiv \partial_\mu A_\nu - \partial_\nu A_\mu$$

$$D_\mu \equiv \partial_\mu - ieA_\mu$$

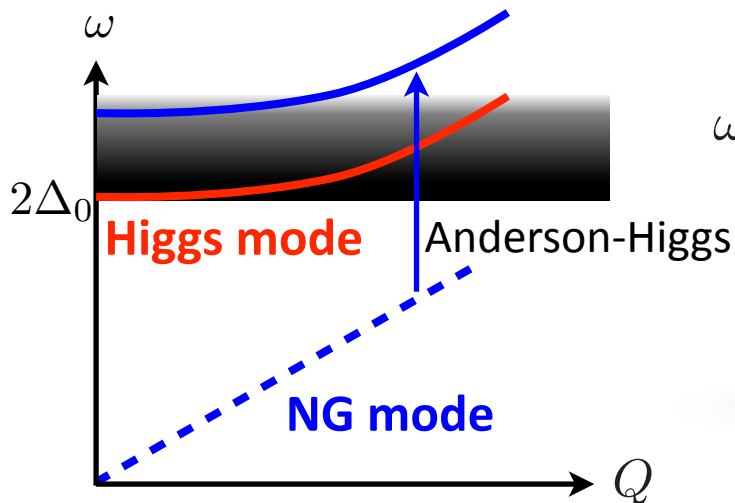
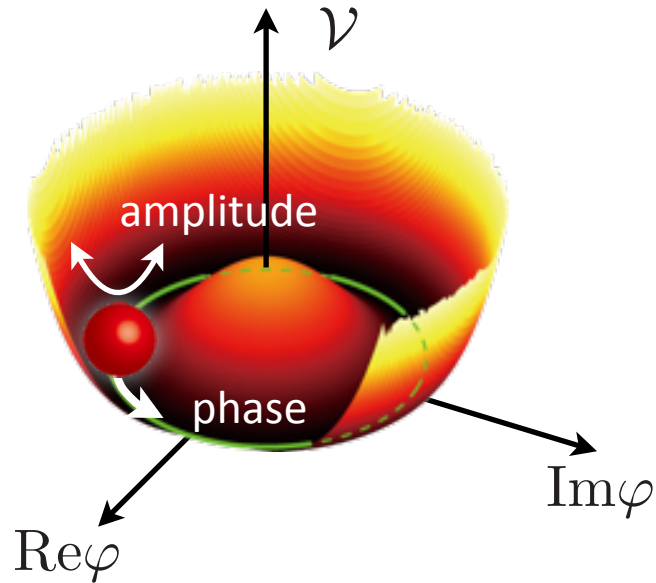
Effective theory for a vector field coupled in a gauge covariant way to a scalar field

"Potential" relevant for spontaneous U(1) symmetry breaking

$$\mathcal{V} = \alpha(T)\varphi^\dagger\varphi + \frac{\beta_0}{2}(\varphi^\dagger\varphi)^2$$

$$\alpha(T) \propto -(T_c - T), \beta_0 > 0$$

Lorentz-invariant time-dependent GL theory



$$\omega_{\pm}(Q) = \sqrt{(M_{\pm})^2 + v_{\pm}^2 Q^2}$$

$M^- = 0$
massless mode

$M^+ = 2\Delta_0$
massive mode

particle-hole symmetric partner

First Observations of Amplitude "Higgs" in SC/SF

Observation of a New Sound-Attenuation Peak in Superfluid $^3\text{He-B}$

R. W. Giannetta,^(a) A. Ahonen,^(b) E. Polturak, J. Saunders,
E. K. Zeise, R. C. Richardson, and D. M. Lee

*Laboratory of Atomic and Solid State Physics and Materials Science Center, Cornell University,
Ithaca, New York 14853*

(Received 25 March 1980)

VOLUME 45, NUMBER 4

PHYSICAL REVIEW LETTERS

28 JULY 1980

Measurements of High-Frequency Sound Propagation in $^3\text{He-B}$

D. B. Mast, Bimal K. Sarma, J. R. Owers-Bradley, I. D. Calder,
J. B. Ketterson, and W. P. Halperin

*Department of Physics and Astronomy and Materials Research Center, Northwestern University,
Evanston, Illinois 60201*

(Received 10 April 1980)

VOLUME 45, NUMBER 8

PHYSICAL REVIEW LETTERS

25 AUGUST 1980

Raman Scattering by Superconducting-Gap Excitations and Their Coupling to Charge-Density Waves

R. Sooryakumar and M. V. Klein

SC-CDW compound NbSe₂

*Department of Physics and Materials Research Laboratory, University of Illinois at Urbana-Champaign,
Urbana, Illinois 61801*

(Received 24 March 1980)

Collective Modes in $^3\text{He-B}$

TM and J.A. Sauls, in preparation

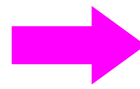
Effective Lagrangian for bosonic excitations: time-dependent GL eq

$$\mathcal{L} = \int d\mathbf{r} \left\{ \tau \text{tr}(\partial_t \mathcal{A} \partial_t \mathcal{A}^\dagger) - \underbrace{f_g[\partial \mathcal{A}, \partial \mathcal{A}^*]}_{\text{gradient}} - \underbrace{f_b[\mathcal{A}, \mathcal{A}^*]}_{\text{4th bulk}} - \underbrace{f_m[\mathcal{A}, \mathcal{A}^*]}_{\text{magnetic}} - \underbrace{f_d[\mathcal{A}, \mathcal{A}^*]}_{\text{dipole}} \right\}$$

Equation of motion for OP fluctuations $\partial_t^2 \mathcal{D}_{J,m}^{(c)} + \left[E_{J,m}^{(c)}(q)^2 \right] \mathcal{D}_{J,m}^c = \frac{1}{\tau} \eta_{J,m}^{(c)}$

Space-time fluctuations

$\mathcal{A}_{\mu i}$
spin orbital



$$\mathcal{A}_{\mu i} + \sum_{J,m} \mathcal{D}_{J,m}(x) t_{\mu i}^{(J,m)}$$

BW: $J=0$ $\begin{pmatrix} 1 & & \\ & 1 & \\ & & 1 \end{pmatrix}_{\mu i}$

spherical harmonic tensor

$J=0$ (scalar), 1 (vector), 2 (tensor)

Classification of 18 bosonic modes

(J, m, c)

parity under PHS

$c = \pm 1$

$c = + (-)$: fluctuation of real (imaginary) part of OP

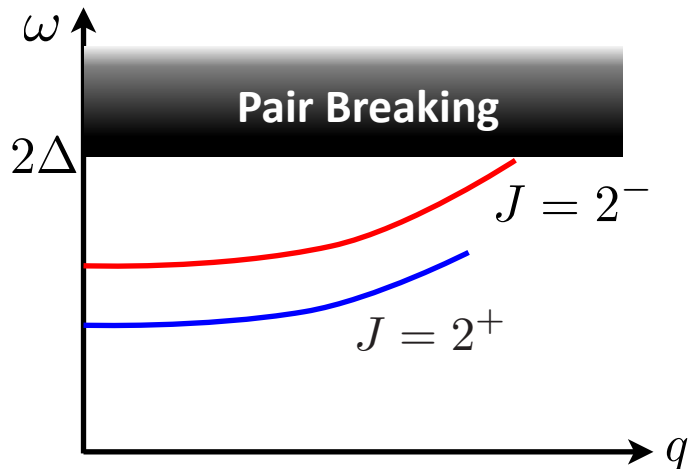
PH partners & $2J+1$ multiplet in each J sector

Collective Modes in $^3\text{He-B}$

J. A. Sauls and **TM**, arXiv:1611.07273 (PRB in press)

Mode	Symmetry	Mass	Name	
$D_{0,m}^{(+)}$	$J = 0, c = +1$	2Δ	Amplitude	
$D_{0,m}^{(-)}$	$J = 0, c = -1$	0	Phase Mode	NG U(1): sound
$D_{1,m}^{(+)}$	$J = 1, c = +1$	0	NG Spin-Orbit Modes	NG SO(3): spin
$D_{1,m}^{(-)}$	$J = 1, c = -1$	2Δ	AH Spin-Orbit Modes	
$D_{2,m}^{(+)}$	$J = 2, c = +1$	$\sqrt{\frac{8}{5}}\Delta$	2^+ AH Modes	gapped: sound/spin
$D_{2,m}^{(-)}$	$J = 2, c = -1$	$\sqrt{\frac{12}{5}}\Delta$	2^- AH Modes	gapped: sound

*dipole interaction & magnetic field are absent



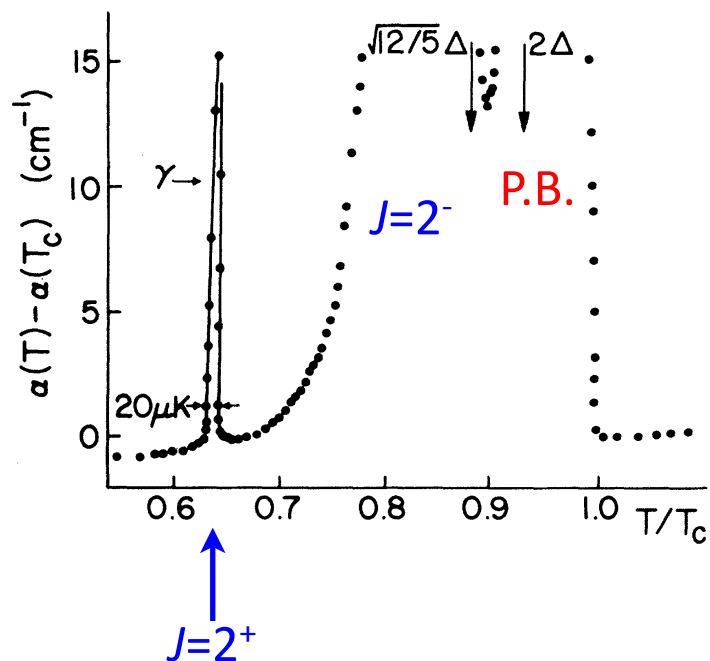
long-lived massive bosons
coupled to sound waves

Vdovin, Maki, Ebisawa, Schopohl, Tewordt, Einzel,
Wolfle, Nagai, Sauls, Serene, Rainer, Volovik, ...

Observations of Amplitude Higgs (Squashing) Modes

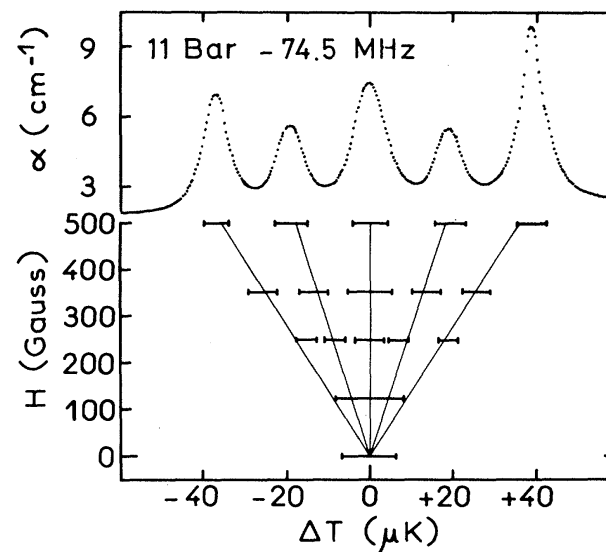
Attenuation of longitudinal sound wave

Giannett *et al.*, PRL 1980; Mast *et al.*, PRL 1980



Field-splitting of $J=2$ squashing modes

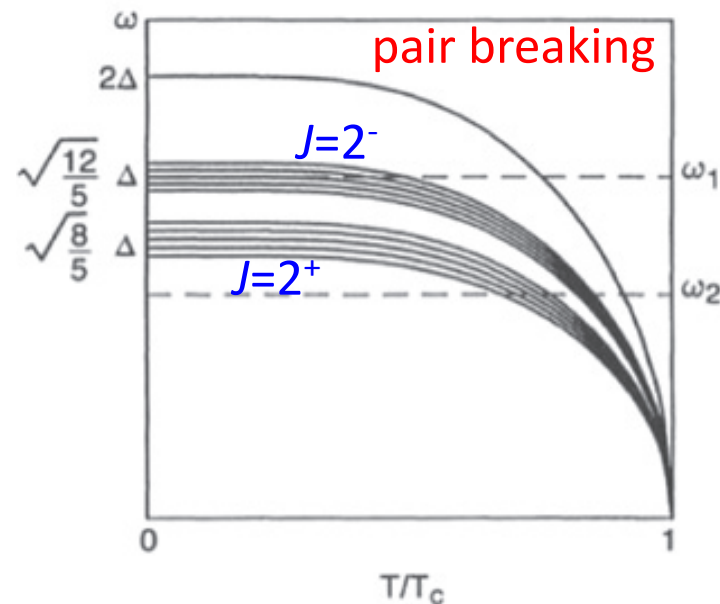
Avenel, Varoquax, and Ebisawa, PRL 45, 1952 (1980)



Attenuation of longitudinal sound wave

$$\alpha(\omega) \propto \frac{A}{(\omega + i\delta)^2 - [\omega_{20}^-]^2} + \zeta \frac{B}{(\omega + i\delta)^2 - [\omega_{20}^+]^2}$$

PH asymmetry parameter



Fermion-Boson Mass Relations in $^3\text{He-B}$

Nambu's "empirical observation" Y. Nambu, Physica D **15**, 147 (1985)

$$(M_J^+)^2 + (M_J^-)^2 = (2m_f)^2$$

Volovik-Zubkov
PRD (2013)

$$M_J^\pm = \sqrt{2m_f^2(1 \pm \eta^{(J)})} \quad \eta^{(J)} \leftarrow \hat{o}^{(J)} \quad \hat{o}^{(0)} = \boldsymbol{\sigma} \cdot \mathbf{p}$$

generator

$$J = 0$$

$$M_{J=0}^- = 0$$

"Phase" mode: **NG**

$$M_{J=0}^+ = 2\Delta_B$$

"amplitude" mode

$$J = 1$$

$$M_{J=1}^- = 2\Delta_B$$

$$M_{J=1}^+ = 0$$

Spin-orbit modes: **NG**

$$J = 2$$

$$M_{J=2}^- = \sqrt{\frac{12}{5}} \Delta_B$$

Imaginary squashing modes

$$M_{J=2}^+ = \sqrt{\frac{8}{5}} \Delta_B$$

Real squashing modes

Nambu identity is realized in broad class of BCS type theories

Application to Top Quark Condensation

Construction of a model for dynamical electroweak symmetry breaking
using the idea from ${}^3\text{He-B}$

G.E. Volovik and M.A. Zubkov, PRD **87**, 075016 (2013)

$${}^3\text{He-B} \quad \left(\sqrt{\frac{8}{5}} \Delta \right)^2 + \left(\sqrt{\frac{12}{5}} \Delta \right)^2 = (2\Delta)^2$$

Re squashing Im squashing

composite Higgs
as top quark condensates

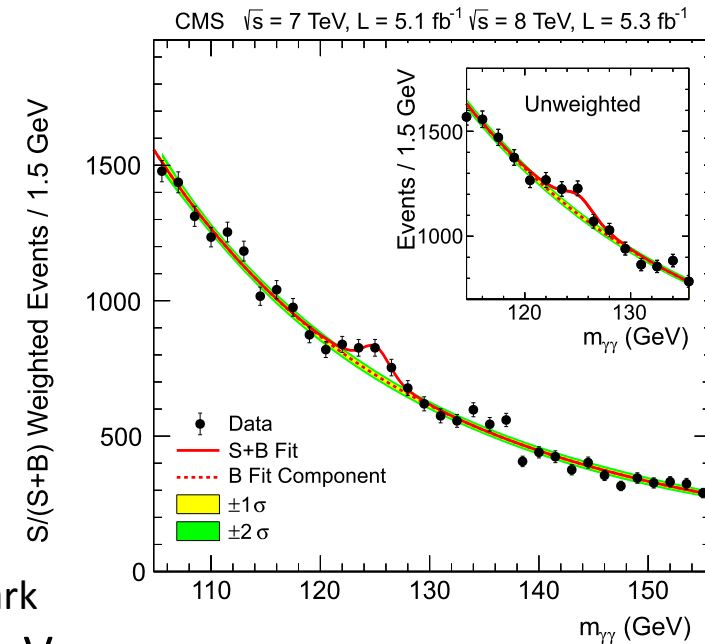
$$M_{H1}^2 + M_{H2}^2 = 4m_t^2$$

discovered Higgs
125 GeV

Nambu partner
325 GeV

top quark
174 GeV

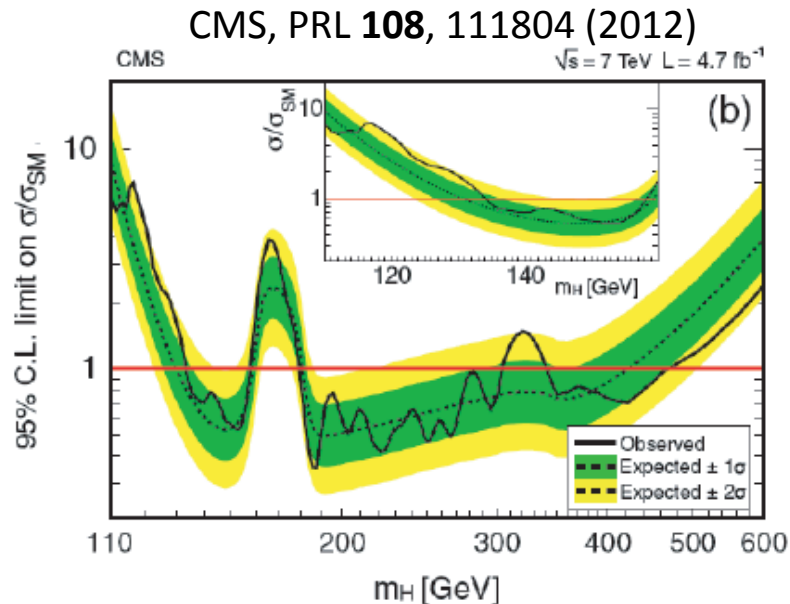
CMS, Phys. Lett. B **710**, 30 (2016)



- ➔ Nambu sum rule for a NJL-type theory of top quark condensation
- ➔ The hint from ${}^3\text{He-B}$ suggests the mass of extra Higgs, $\sim 325\text{GeV}$

Nambu sum rule (if works) may express the mass of “extra Higgs” via quark masses

Nambu's Sum Rule & Higgs



Application to Higgs fields and top quarks

G.E. Volovik and M.A. Zubkov, PRD **87**, 075016 (2013)

top quark condensation within the Nambu-Jona Lasinio theory

$$M_{H1}^2 + M_{H2}^2 = 4m_t^2$$

\nearrow discovered Higgs 125 GeV
 \nwarrow Nambu partner **325 GeV**
 \nwarrow top quark 174 GeV

Strong coupling corrections to Nambu's fermion-boson relations?

J. A. Sauls and TM, arXiv:1611.07273 (PRB in press)

Key observation: NSR may be violated by excitation of Higgs bosons with symmetry distinct from that of the fermionic vacuum

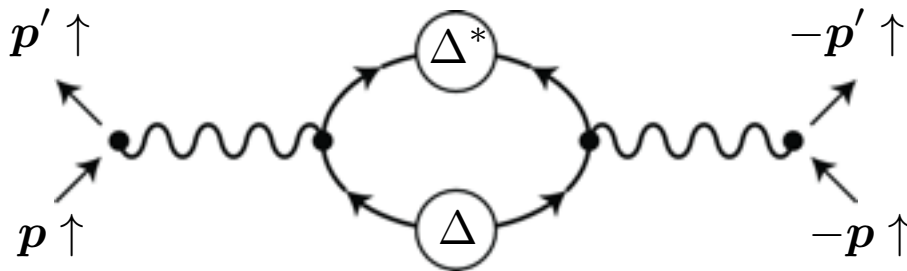
- (i) Strong-coupling feedback corrections to BCS theory: **High-T TDGL**
- (ii) Vacuum polarization & interactions in both the **p-h** (Landau) and **p-p** (Cooper) channels — “back-action” of bosonic fluctuations: **Low-T Quasiclassical theory**

Mass Shift due to Strong Coupling Effects

- (i) Strong-coupling feedback corrections to BCS theory: **High-T TDGL**
- (ii) Vacuum polarization & interactions in both the **p-h** (Landau) and **p-p** (Cooper) channels — “back-action” of bosonic fluctuations: **Low-T Quasiclassical theory**

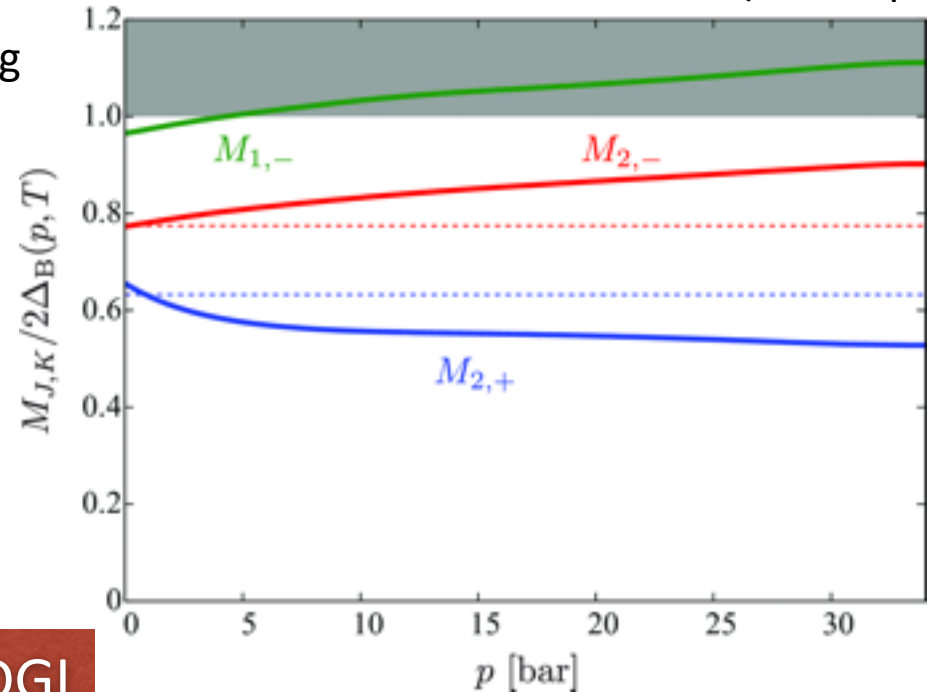
spin-fluctuation modified by spin-fluctuation of pairing

⇒ B-to-A phase transition



Brinkman, Serene, and Anderson, PRA **10**, 2386 (1974)

J. A. Sauls and **TM**, arXiv:1611.07273 (PRB in press)



Beyond TDGL

The parent state is the Fermi liquid ground state: “Fermionic vacuum”

Calculation of bosonic spectrum arising from the “back-action” of the fermionic vacuum requires the theory that includes both fermionic and bosonic degrees of freedom

Superfluid Fermi Liquid Theory

high-energy contributions are represented by phenomenological parameters

$$\begin{aligned}
 \text{Diagram} &= \text{Diagram} + \text{Diagram} + \text{Diagram} + \dots \\
 G^{\text{low}} &= \text{---} & G^{\text{high}} &= \text{- - - -}
 \end{aligned}$$

Particle-hole interactions

$$\begin{aligned}
 \Sigma_{ab}(k) &= \text{Diagram} \\
 u_{\text{ext}} &= \text{Diagram}
 \end{aligned}$$

$$F_l^{\text{S}} \quad F_l^{(\text{a})}$$

Fermi liquid parameters (scalar & spin exchange)

Particle-particle interactions

$$\Delta_{ab}(k) = \text{Diagram}$$

subdominant *f*-wave pairing

$$x_3 \equiv \ln(T_c^f / T_c)$$

Do Interactions & polarizations of the fermionic vacuum violate the sum rule?

Vacuum Polarization Corrections

Observation 1 Masses of $J=0$ and $J=1$ bosonic modes are unrenormalized by interactions

$$J = 0$$

$$M_{J,-} = 0$$

$$M_{J,+} = 2\Delta$$

$$J = 1$$

$$M_{J,-} = 2\Delta$$

$$M_{J,+} = 0$$

the spin NG mode acquires a mass when magnetic dipole int. is taken into account

==> Little Higgs: $M_{J,+} = 10\text{kHz} \ll 2\Delta \sim 100\text{MHz}$

generalized Tsuneto fn.:
fermionic self-energies

Dynamical equations for spin-triplet bosonic modes

$$\vec{d}^{(-)}(\hat{p}; \omega) = - \int \frac{d\Omega_{p'}}{4\pi} V^{(1)}(\hat{p}, \hat{p}') \left\{ \left[\frac{1}{2}\gamma + \frac{1}{4}(\omega^2 - 4|\Delta|^2)\bar{\lambda}(\omega) \right] \vec{d}^{(-)}(\hat{p}'; \omega) + \bar{\lambda}(\omega)\vec{\Delta}(\hat{p}')(\vec{\Delta}(\hat{p}') \cdot \vec{d}^{(-)}(\hat{p}'; \omega)) \right. \\ \left. - \frac{1}{2}\omega\bar{\lambda}(\omega)\vec{\Delta}(\hat{p}')\Sigma^{(+)}(\hat{p}'; \omega) \right\},$$

homogeneous equation

Bosonic fluctuations couple to fluctuation of self-energies linearly in ω

$$J = 0^-, 1^+$$

Nambu-Goldstone modes

$$J = 0^+, 1^-$$

$$(\omega^2 - 4|\Delta|^2) \mathcal{D}(\omega) = 0$$

cannot couple to neither self-energy fluct., residual pairing ($d-, f-, \dots$), nor external fields

Vacuum Polarization Corrections

Observation 2 In $J=2$, the NSR is not protected against the polarizations of fermion vacuum

EOM for $J=2^-$:

$$\left[\omega^2 - \underbrace{(M_{2,-}^{(0)})^2}_{\text{bare mass}} \right] \mathcal{D}_{2,m}^- + \underbrace{\frac{8}{5} \Delta^2 \mathcal{F}_{2,m}^-}_{J=2 \text{ f-wave fluct}} = \underbrace{\frac{4}{5} \Delta \omega \Sigma_{2,m}^+}_{\text{polarization of fermion vacuum}}$$

➔ Spin-fluctuation model predicts the subdominant f -wave attraction & the f -wave fluctuations can be coupled only to $J=2$ bosonic modes

$$d_\mu(\mathbf{p}) = \mathcal{D}_{\mu i} \hat{p}_i + \mathcal{F}_{\mu,ijk} \hat{p}_i \hat{p}_j \hat{p}_k \quad T_c^f \ll T_c^p$$

$J=2, S=1, L=1 \quad J=2, S=1, L=3$

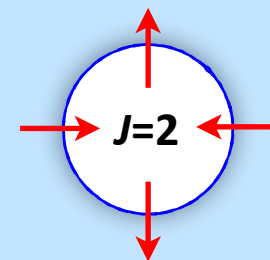
Self-energy fluctuations

$$\left[1 + \frac{1}{5} F_2^s \lambda(\omega) \right] \Sigma_{2,m}^+(\omega) = \frac{1}{5} F_2^s \lambda(\omega) \left(\frac{\omega}{2\Delta} \right) [\mathcal{D}_{2,m}^-(\omega) + \mathcal{F}_{2,m}^-(\omega)]$$

Pair fluctuations polarizes the $J=0$ condensate vacuum & generate an internal stress proportional to

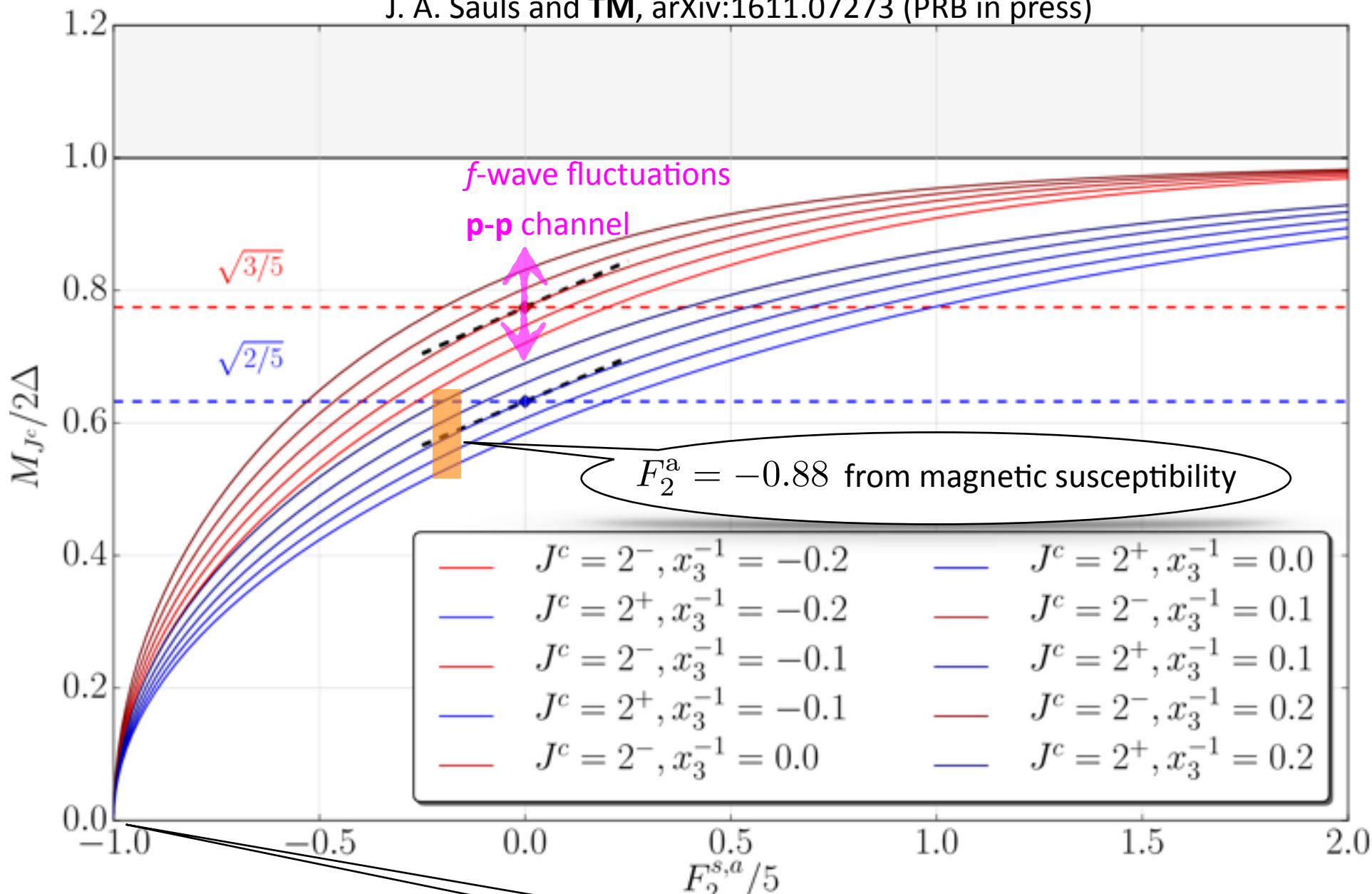
1. Fermi liquid parameter (particle-hole interaction channel)
2. time-derivative of bosonic mode amplitudes

$J=0$ condensate vacuum



Vacuum Polarization Corrections to Masses of J=2 Modes

J. A. Sauls and TM, arXiv:1611.07273 (PRB in press)

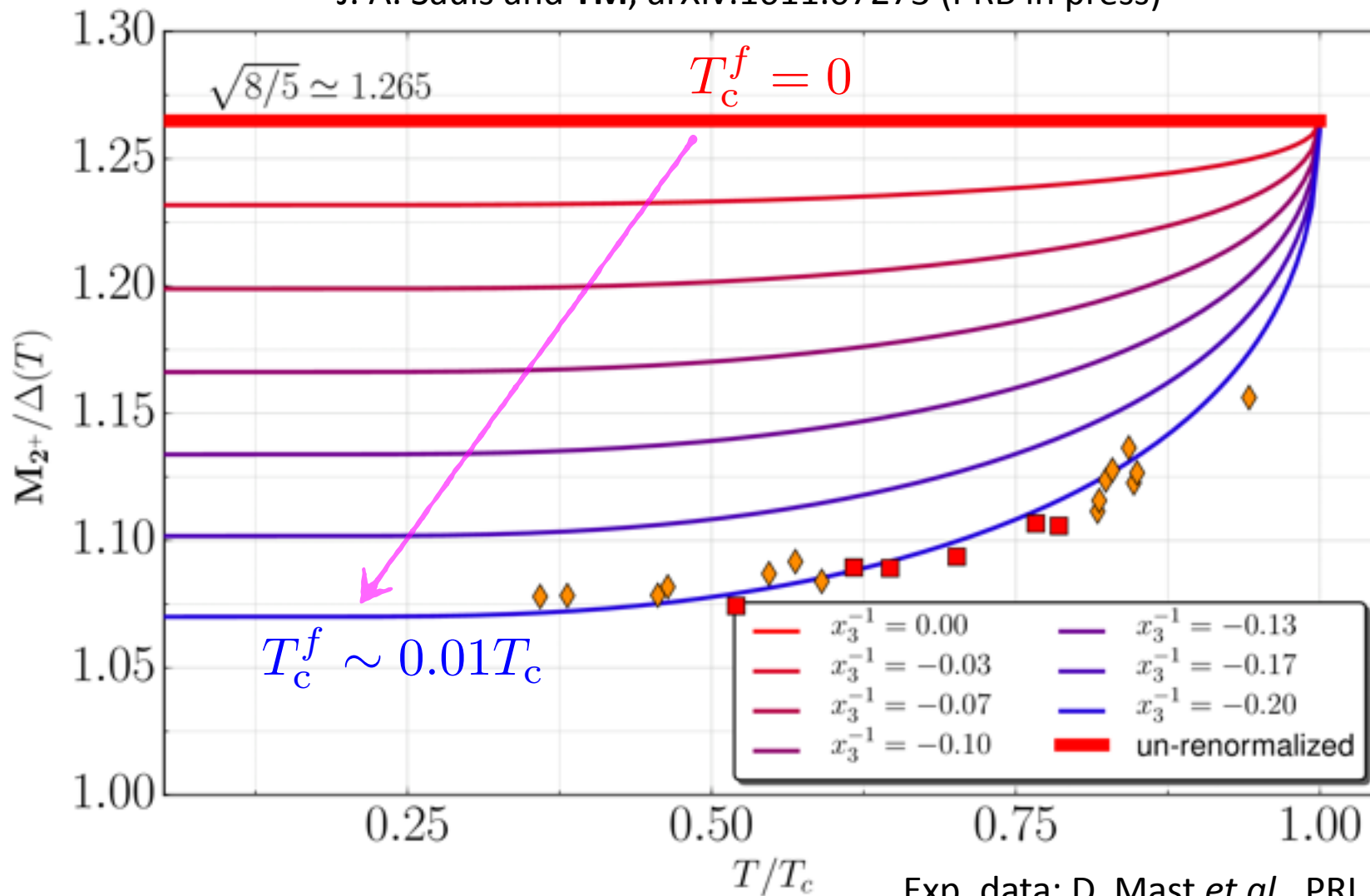


Dynamical signature of the Pomerunchuk instability of the fermionic vacuum

Mass Shift of $J=2^+$ Squashing Modes in $^3\text{He-B}$

- ➔ Subdominant attractive f -wave interaction plays an essential role
- ➔ The violation of the NSR for $J=2$ modes is order of 20-30% in low temperatures

J. A. Sauls and **TM**, arXiv:1611.07273 (PRB in press)



Exp. data: D. Mast *et al.*, PRL **45**, 266 (1980)

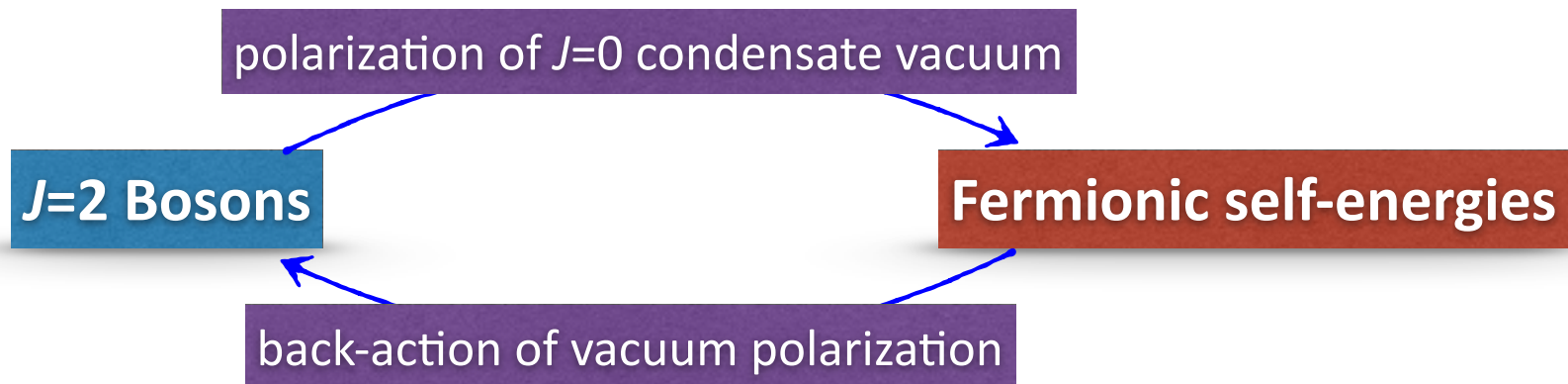
Summary

Nambu relation can be maintained in $J=0$ sector

$$(M_J^+)^2 + (M_J^-)^2 = (2m_f)^2$$

In $J=0$ sector, the residual interaction (dipole interaction) explicitly breaks the spin-orbit symmetry, and thus the spin-orbit NG boson acquires masses: pseudo NG bosons

Excitations of $J=2$ bosons generate the polarization of $J=0$ condensate vacuum and the back-action of vacuum polarization leads to the mass shift of $J=2$ bosons



- ➔ bosons with the symmetry distinct from that of the vacuum may violate the Nambu's mass relation
- ➔ The mass relation in the vacuum sector is always rigorous ? Symmetry protection of Nambu identity?

Summary

^3He & NS interiors: Topological aspect of unconventional SF

➔ Weyl fermions & anomaly in $^3\text{He-A}$

(1) l-texture: effective gauge field for Weyl fermions

(2) “Torsional” magnetic field due to l-texture

==> torsional chiral magnetic effect?

$$\mathcal{H}(\mathbf{k}) = e_j^\mu \tau^j (k_\mu - k_F \hat{l}_\mu)$$

$$(e_1^\mu, e_2^\mu, e_3^\mu) = \left(\frac{\Delta}{k_F} \hat{m}_\mu, \frac{\Delta}{k_F} \hat{n}_\mu, v_F \hat{l}_\mu \right)$$

Torsional CME in Weyl semi-metals: Sumiyoshi-Fujimoto, PRL (2016)

➔ Topology of $^3\text{He-B}$: surface Majorana fermions ==> Ising spin & spin current

➔ $^3\text{P}_2$ in NS interiors: Nematic ($\sim^3\text{He-B}$), ferro. ($\sim^3\text{He-A}$), cyclic ($\sim^3\text{He-}\alpha$)

Tricritical point & connection to superconductivity in cubic metals

Topology in confined $^3\text{He-B}$

➔ Quantum phase transition at the critical field

Fermions: Topological phase transition & mass acquisition of surface MF

Bosons: Softening of Ising order excitation (spin-orbit pseudo-NG)

How to detect the Majorana nature of surface states?