

Recent developments of Functional Renormalization Group and its applications to ultracold fermions

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- 1 Introduction to renormalization group
- 2 Functional renormalization group
- 3 Applications

Introduction to renormalization group (RG)

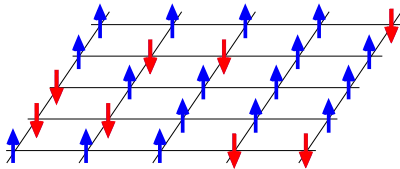
Ising spin model

Hamiltonian for a spin system
on the lattice $a\mathbb{Z}^d$:

$$H = - \sum_{|i-j|=a} J_{ij} S_i S_j.$$

i, j : labels for lattice points

$S_i = \pm 1$: spin variable .



Let us consider the ferromagnetic case: $J_{ij} \geq 0$.

- Spins are aligned parallel \Rightarrow Energy H takes lower values.

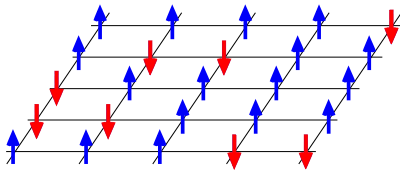
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What is properties of the following Gibbsian measure?

$$\mu(\{S_i\}) = \exp(-H/T + h \sum_i S_i) / Z.$$

($Z = \text{Tr} \exp(-H/T + h \sum_i S_i)$: partition function)

Phase structure of the Ising model

Free energy of the system: $F(T, h) = -T \ln Z = -T \ln \text{Tr} \exp(-H/T + h \sum_i S_i)$.

Rough estimate on $F(T, 0)$:

$$F(T, 0) \sim E - T \ln W.$$

(W : Number of spin alignments with $H(\{S_i\}) = E(:= \langle H \rangle)$)

- $T \rightarrow \infty$: The second term becomes dominant, and spins are randomized.
- $T \rightarrow 0$: The first term becomes dominant, and spins like to be aligned.

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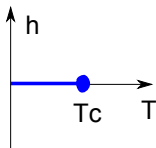
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Phase structure of Ising spins:



For $T < T_c$, there exists discontinuities in $\partial F / \partial h$ when crossing the blue line (1st order PT line).

Magnetization

$$M(T, h) = \frac{\partial F(T, h)}{\partial h} = \langle S_i \rangle.$$

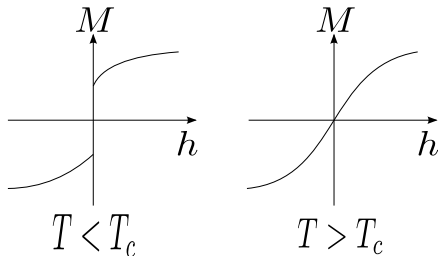
At $T < T_c$, M jumps as crossing $h = 0$ (1st order phase transition.)

At $h = 0$, naive Gibbsian measure μ is not well defined

⇒ The system must be specified with **the boundary condition at infinities**:

$$\mu(T, h = 0, a) = a\mu_+(T) + (1 - a)\mu_-(T) \quad (0 < a < 1).$$

with $\mu_{\pm}(T) = \mu(T, h \rightarrow \pm 0)$



2nd order phase transition

At $T = T_c$, there exists no discontinuities on first derivatives of $F(T, h)$.

What about second derivatives? \Rightarrow Magnetic susceptibility:

$$\chi = \frac{\partial^2 F}{\partial h^2} = \sum_i \langle S_0 S_i \rangle.$$

As $T \rightarrow T_c + 0$, the susceptibility diverges,

$$\chi \sim |(T - T_c)/T_c|^{-\gamma} \rightarrow \infty.$$

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Other scaling properties:

$$C := -(T - T_c) \frac{\partial^2 F}{\partial T^2} \sim |(T - T_c)/T_c|^{-\alpha} \rightarrow \infty,$$

$$M \sim |(T - T_c)/T_c|^\beta \rightarrow 0.$$

Scaling relation (Rushbrook identity):

$$\alpha + 2\beta + \gamma = 2.$$

(Only **two** of scaling exponents are independent!)

Scaling hypothesis

Assume that the free energy $G(T - T_c, h) = F(T, h)$ satisfies (Widom, 1965)

$$G(\lambda^s t, \lambda^r h) = G(t, h)/\lambda.$$

Taking derivatives of the both sides,

$$M(t, h) = \lambda^{r+1} M(\lambda^s t, \lambda^r h),$$

$$\chi(t, h) = \lambda^{2r+1} \chi(\lambda^s t, \lambda^r h),$$

$$C(t, h) = \lambda^{2s+1} C(\lambda^s t, \lambda^r h).$$

Putting $h = 0$ and $\lambda = 1/|t|^s$, we get

$$M(t, 0) \sim |t|^{-(r+1)/s}, \quad \chi(t, 0) \sim |t|^{-(2r+1)/s}, \quad C(t, 0) \sim |t|^{-(2s+1)/s}.$$

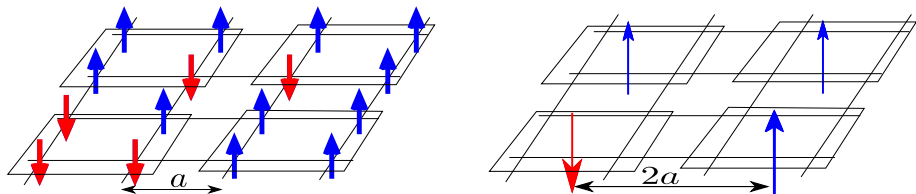
Then, $\alpha + 2\beta + \gamma = 2$ because

$$\alpha = \frac{2s+1}{s}, \quad \beta = -\frac{r+1}{s}, \quad \gamma = \frac{2r+1}{s}.$$

Block spin transformation (I)

What justifies scaling hypothesis? \Rightarrow Renormalization Group

Block spin transformation (Kadanoff)



The number of total degrees of freedom: $N \mapsto N'$. This defines the scaling factor

$$\lambda = N'/N (< 1).$$

Idea: Long range feature is difficult to be computed from microscopic theory.

Important!

Let's perform *coarse graining*, and compute correlations between averaged spins!

Block spin transformation (II)

We can generally denote the BST as

$$\mu_R(\{S_i^{(R)}\}) = \sum_{\{S_i\}} T(\{S_i^{(R)}\}, \{S_i\}) \mu(\{S_i\}).$$

T is a transition probability, which satisfies

$$\sum_{\{S_i^{(R)}\}} T(\{S_i^{(R)}\}, \{S_i\}) = 1.$$

Assumption: μ_R is also Gibbsian with respect to block spins $S_i^{(R)}$:

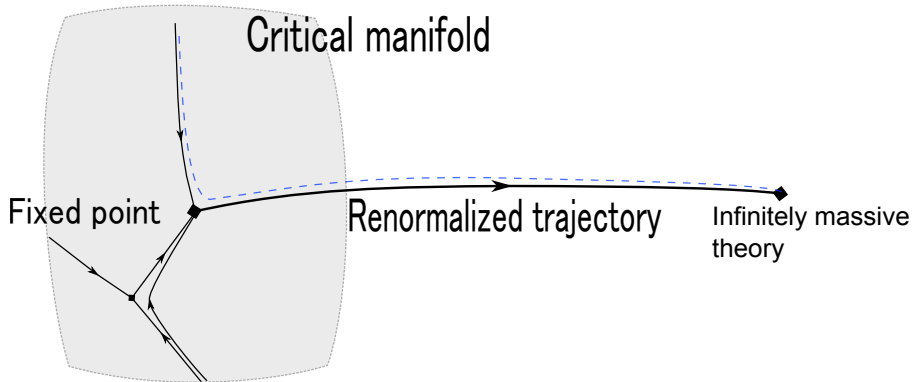
$$\mu_R \propto \exp -H_R.$$

$$H_R(S^{(R)}) = \mathcal{R}H(S) := -\ln \mu_R.$$

Free energy per unit cell: $F(H_R) = F(H)/\lambda$.

Renormalization group flow

Operation \mathcal{R} can be performed repeatedly on effective Hamiltonians H .



Fixed points $H^* = \mathcal{R}H^* \Rightarrow$ The system shows universal/self-similar behaviors (Wilson).

Important!

RG provides a useful framework to extract and treat large-scale behaviors.

Functional renormalization group

General framework of FRG

Generating functional of connected Green functions:

$$\exp(W[J]) = \int \mathcal{D}\Phi \exp(-S[\Phi] + J \cdot \Phi).$$

infinite dimensional integration!

Possible remedy: Construct nonperturbative relations of Green functions!

(\Rightarrow **Functional techniques**)

- Dyson-Schwinger equations
- 2PI formalism
- Functional renormalization group (**FRG**)

Wilsonian renormalization group

Classical action/Hamiltonian: $S[\phi] = \int d^d x \left[\frac{1}{2}(\nabla\phi)^2 + \frac{m^2}{2}\phi^2 + \frac{\lambda}{4!}\phi^4 \right]$.

“Block spins”: $\phi_p = \int d^d x e^{-ipx} \phi(x)$ for small momenta p .

Field theoretic formulation of RG (Wilson, Kogut 1974):

$$\exp -S_\Lambda[\phi] := \mathcal{N}_\Lambda \int \prod_{|p| \geq \Lambda} d\phi_p \exp -S[\phi].$$

Important!

Correlation functions $\langle \phi_{p_1} \cdots \phi_{p_n} \rangle$ with low momenta $|p_i| \leq \Lambda$ are calculable with S_Λ instead of the microscopic action S .

Functional/Exact RG (I)

Schwinger functional W_k with an IR regulator R_k :

$$\exp(W_k[J]) = \int \mathcal{D}\phi \exp\left(-S[\phi] - \frac{1}{2}\phi \cdot R_k \cdot \phi + J \cdot \phi\right).$$

R_k : IR regulator, which controls low-energy excitations ($p^2 \leq k^2$).

k -derivatives of the both sides:

$$\begin{aligned} \partial_k \exp(W_k[J]) &= \int \mathcal{D}\phi -\frac{1}{2}\phi R_k \phi \exp\left(-S[\phi] - \frac{1}{2}\phi R_k \phi + J\phi\right) \\ &= -\frac{1}{2} \frac{\delta}{\delta J} R_k \frac{\delta}{\delta J} \exp W_k[J] \end{aligned}$$

Flow equation

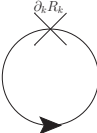
$$\partial_k W_k = -\frac{1}{2} \frac{\delta W_k}{\delta J} R_k \frac{\delta W_k}{\delta J} - \frac{1}{2} R_k \frac{\delta^2 W_k}{\delta J \delta J}.$$

Functional/Exact RG (II)

The 1PI effective action Γ_k is introduced via the Legendre trans.:

$$\Gamma_k[\varphi] + \frac{1}{2}\varphi \cdot R_k \cdot \varphi = J[\varphi] \cdot \varphi - W_k[J[\varphi]],$$

which obeys the flow equation (Wetterich 1993, Ellwanger 1994, Morris 1994)

$$\partial_k \Gamma_k[\Phi] = \frac{1}{2} \text{STr} \frac{\partial_k R_k}{\delta^2 \Gamma_k[\Phi] / \delta \Phi \delta \Phi + R_k} = \text{Diagram}$$


Properties of Γ_k : $\Gamma_k \rightarrow S$ as $R_k \rightarrow \infty$, and $\Gamma_k \rightarrow \Gamma$ as $R_k \rightarrow 0$.

Important!

Functional implementation of “block spin transformations” keeps all the information of microscopic systems.

Generalized flow equation of FRG

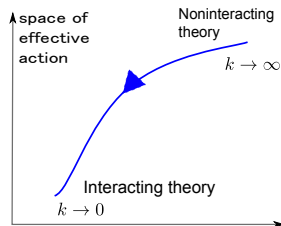
$\delta S_k[\Phi]$: Some function of Φ with a parameter k . (IR regulator)

k -dependent Schwinger functional

$$\exp(W_k[J]) = \int \mathcal{D}\Phi \exp[-(S[\Phi] + \delta S_k[\Phi]) + J \cdot \Phi]$$

Flow equation

$$\begin{aligned} -\partial_k W_k[J] &= \langle \partial_k \delta S_k[\Phi] \rangle_J \\ &= \exp(-W_k[J]) \partial_k (\delta S_k) [\delta/\delta J] \exp(W_k[J]) \end{aligned}$$



Consequence

We get a (functional) differential equation instead of a (functional) integration!

Optimization

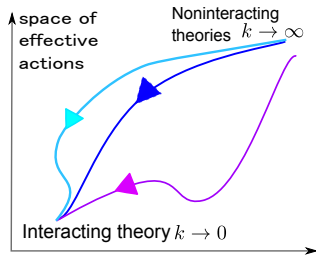
Choice of IR regulators δS_k is arbitrary.

Optimization:

Choose the “best” IR regulator, which validates systematic truncation of an approximation scheme.

Optimization criterion (Litim 2000, Pawłowski 2007):

- IR regulators δS_k make the system gapped by a typical energy $k^2/2m$ of the parameter k .
- High-energy excitations ($\gtrsim k^2/2m$) should decouple from the flow of FRG at the scale k .
- Choose δS_k stabilizing calculations and making it easier.

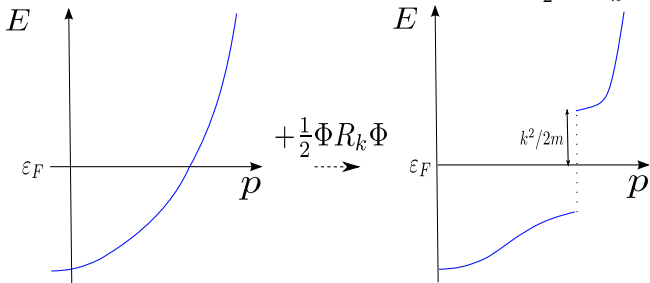


Conventional approach: Wetterich equation

At high energies, perturbation theory often works well.

⇒ Original fields control physical degrees of freedom.

IR regulator for bare propagators (\sim mass term): $\delta S_k[\Phi] = \frac{1}{2} \Phi_\alpha R_k^{\alpha\beta} \Phi_\beta$.



Flow equation of 1PI effective action $\Gamma_k[\Phi]$ (Wetterich 1993)

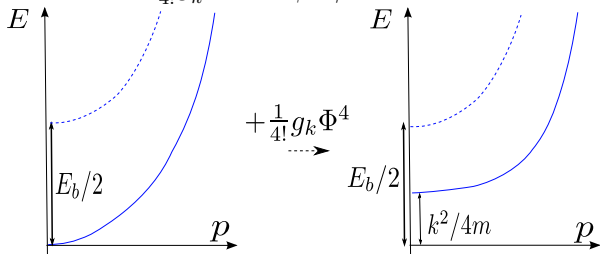
$$\partial_k \Gamma_k[\Phi] = \frac{1}{2} \text{STr} \frac{\partial_k R_k}{\delta^2 \Gamma_k[\Phi] / \delta \Phi \delta \Phi + R_k} =$$

The diagram shows a circular loop with a clockwise arrow. A cross is drawn over the top of the loop, with the label $\partial_k R_k$ above it.

FRG beyond the naive one: vertex IR regulator

In the infrared region, collective bosonic excitations emerge quite in common. (e.g.) Another low-energy excitation emerges in the $\Phi\Phi$ channel

Vertex IR regulator: $\delta S_k = \frac{1}{4!} g_k^{\alpha\beta\gamma\delta} \Phi_\alpha \Phi_\beta \Phi_\gamma \Phi_\delta$.



Flow equation with the vertex IR regulator (YT, PTEP2014, 023A04)

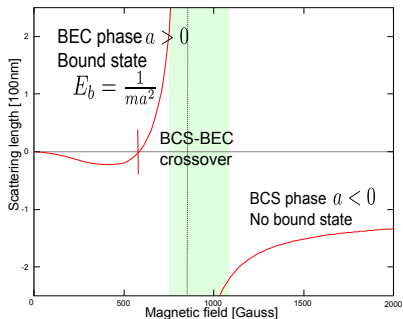
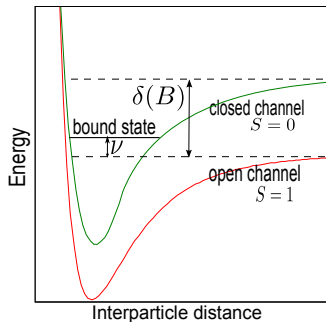
$$\partial_k \Gamma_k[\Phi] = \text{diagram 1} + \text{diagram 2} + \text{diagram 3} + \text{diagram 4} + \text{diagram 5} + \text{diagram 6}$$

The flow equation is represented by a series of six Feynman diagrams. The first diagram is a four-point vertex with a black dot. The second diagram is a self-energy loop with a black dot. The third diagram is a two-loop diagram with a black dot. The fourth diagram is a self-energy loop with a black dot and a grey square. The fifth diagram is a two-loop diagram with a black dot and two grey squares. The sixth diagram is a three-loop diagram with a black dot and three grey squares.

Application of fermionic FRG to the BCS-BEC crossover

Cold atomic physics

Ultracold fermions provides examples of strongly-correlated fermions.
High controllability can tune effective couplings with real experiments!

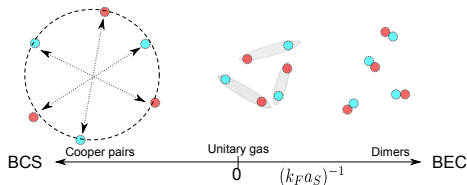


(Typically, $T \sim 100\text{nK}$, and $n \sim 10^{11-14} \text{ cm}^{-3}$)

BCS-BEC crossover

EFT: Two-component fermions with an attractive contact interaction.

$$S = \int d^4x \left[\bar{\psi}(x) \left(\partial_\tau - \frac{\nabla^2}{2m} - \mu \right) \psi(x) + g \bar{\psi}_1(x) \bar{\psi}_2(x) \psi_2(x) \psi_1(x) \right]$$



(Eagles 1969, Legget 1980,
Nozieres & Schmitt-Rink 1985)

Question

Is it possible to treat EFT systematically to describe the BCS-BEC crossover?

General strategy

We will calculate T_c/ε_F and μ/ε_F .

⇒ Critical temperature and the number density must be calculated.

We expand the 1PI effective action in **the symmetric phase**:

$$\begin{aligned} \Gamma_k[\bar{\psi}, \psi] &= \beta F_k(\beta, \mu) + \int_p \bar{\psi}_p [G^{-1}(p) - \Sigma_k(p)] \psi_p \\ &\quad + \int_{p, q, q'} \Gamma_k^{(4)}(p) \bar{\psi}_{\uparrow, \frac{p}{2}+q} \bar{\psi}_{\downarrow, \frac{p}{2}-q} \psi_{\downarrow, \frac{p}{2}-q'} \psi_{\uparrow, \frac{p}{2}+q'}. \end{aligned}$$

Critical temperature and the number density are determined by

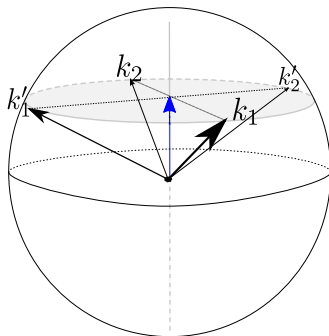
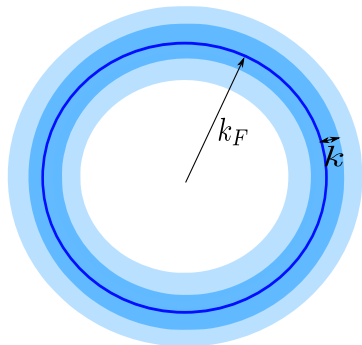
$$\frac{1}{\Gamma_0^{(4)}(p=0)} = 0, \quad n = \int_p \frac{-2}{G^{-1}(p) - \Sigma_0(p)}.$$

BCS side

Case 1 Negative scattering length $(k_F a_s)^{-1} \ll -1$.

\Rightarrow Fermi surface exists, and low-energy excitations are fermionic quasi-particles.

Shanker's RG for Fermi liquid (Shanker 1994)

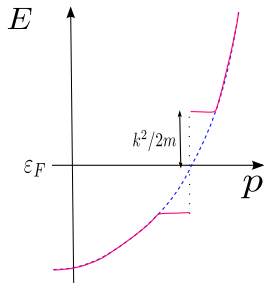


Functional implementation of Shanker's RG

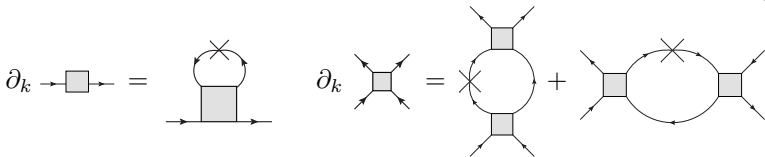
RG must keep low-energy fermionic excitations under control.

$\Rightarrow \delta S_k = \int_p \bar{\psi}_p R_k^{(f)}(\mathbf{p}) \psi_p$ with

$$R_k^{(f)}(\mathbf{p}) = \text{sgn}(\xi(\mathbf{p})) \left(\frac{k^2}{2m} - |\xi(\mathbf{p})| \right) \theta \left(\frac{k^2}{2m} - |\xi(\mathbf{p})| \right)$$

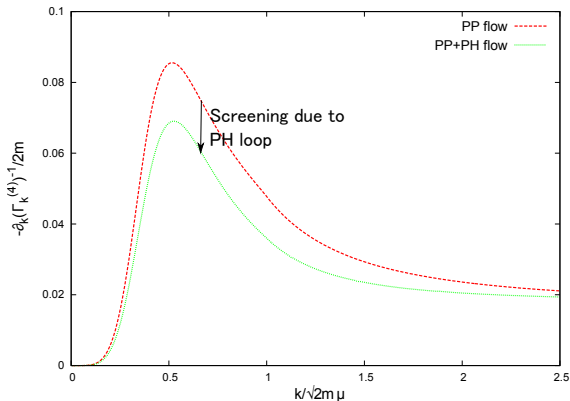


Flow equation of the self-energy Σ_k and the four-point 1PI vertex $\Gamma_k^{(4)}$:



Flow of fermionic FRG: effective four-fermion interaction

- Particle-particle loop \Rightarrow RPA & BCS theory
- Particle-hole loop gives screening of the effective coupling at $k \sim k_F$

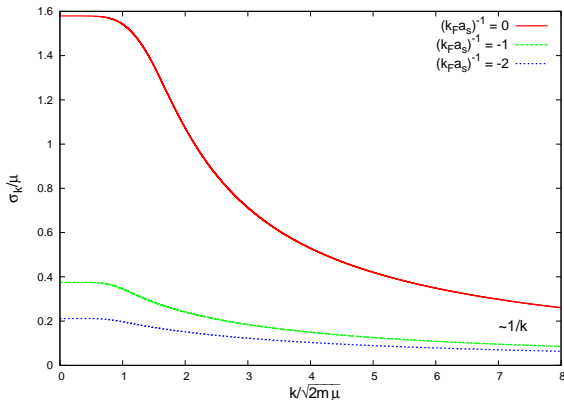


(YT, G. Fejős, T. Hatsuda,
arXiv:1310.5800)

$$T_c^{\text{BCS}} = \varepsilon_F \frac{8e^{\gamma} E^{-2}}{\pi} e^{-\pi/2k_F|a_s|} \Rightarrow T_c^{\text{BCS}}/2.2. \quad (\text{Gorkov, Melik-Barkhudarov, 1961})$$

Flow of fermionic FRG: self-energy

Local approximation on self-energy: $\Sigma_k(p) \simeq \sigma_k$.

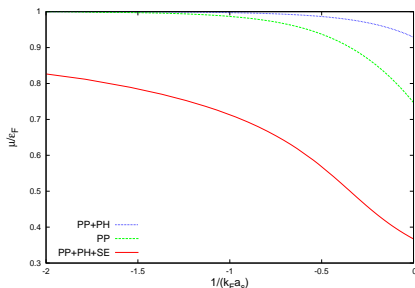
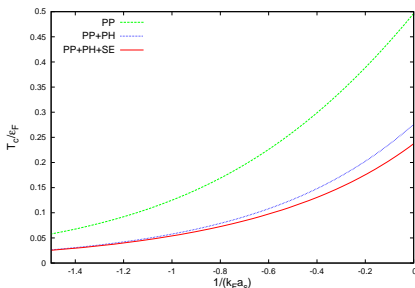


(YT, G. Fejős, T. Hatsuda,
arXiv:1310.5800)

- High energy: $\sigma_k \simeq (\text{effective coupling}) \times (\text{number density}) \sim 1/k$
- Low energy: $\partial_k \sigma_k \sim 0$ due to the particle-hole symmetry.

Transition temperature and chemical potential in the BCS side

(YT, G. Fejős, T. Hatsuda, arXiv:1310.5800)



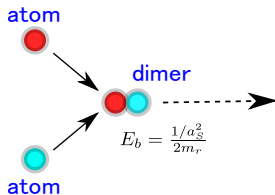
Consequence

- Critical temperature T_c/ε_F is significantly reduced by a factor 2.2 in $(k_F a_s)^{-1} \lesssim -1$, and the self-energy effect on it is small in this region.
- $\mu(T_c)/\varepsilon_F$ is largely changed from 1 even when $(k_F a_s)^{-1} \lesssim -1$.

BEC side

Case 2 Positive scattering length : $(k_F a_s)^{-1} \gg 1$

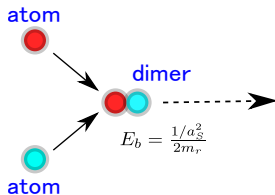
⇒ Low-energy excitations are one-particle excitations of **composite dimers**.



BEC side

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⇒ Low-energy excitations are one-particle excitations of **composite dimers**.



Several approaches for describing BEC of composite bosons. (Pros/Cons)

- Auxiliary field method
(Easy treatment within MFA/ Fierz ambiguity in their introduction)
- Fermionic FRG (\Leftarrow **We develop this method!**)
(Unbiased and unambiguous/ Nonperturbative treatment is necessary)

Vertex IR regulator & Flow equation

Optimization can be satisfied with the vertex IR regulator:

$$\delta S_k = \int_p \frac{g^2 R_k^{(b)}(\mathbf{p})}{1 - g R_k^{(b)}(\mathbf{p})} \int_{q, q'} \bar{\psi}_{\uparrow, \frac{p}{2} + q} \bar{\psi}_{\downarrow, \frac{p}{2} - q} \psi_{\downarrow, \frac{p}{2} - q'} \psi_{\uparrow, \frac{p}{2} + q'}$$

Flow equation up to fourth order (YT, PTEP2014 023A04, YT, arXiv:1402.0283):

$$\partial_k \rightarrow \square \rightarrow = \text{diagram 1} + \text{diagram 2} \quad \partial_k \square = \text{diagram 3} + \text{diagram 4}$$

Effective boson propagator in the four-point function:

$$\frac{1}{\Gamma_k^{(4)}(p)} = -\frac{m^2 a_s}{8\pi} \left(ip^0 + \frac{\mathbf{p}^2}{4m} \right) - R_k^{(b)}(p)$$

Flow of fermionic FRG: self-energy

Flow equation of the self-energy:

$$\partial_k \Sigma_k(p) = \int_l \frac{\partial_k \Gamma_k^{(4)}(p+l)}{il^0 + \mathbf{l}^2/2m + 1/2ma_s^2 - \Sigma_k(l)}.$$

If $|\Sigma_k(p)| \ll 1/2ma_s^2$,

$$\begin{aligned} \Sigma_k(p) &\simeq \int_l \frac{\Gamma_k^{(4)}(p+l)}{il^0 + \mathbf{l}^2/2m + 1/2ma_s^2} \\ &\simeq \int \frac{d^3\mathbf{q}}{(2\pi)^3} \frac{(8\pi/m^2a_s)n_B(\mathbf{q}^2/4m + \frac{m^2a_s}{8\pi}R_k^{(b)}(\mathbf{q}))}{ip^0 + \frac{\mathbf{q}^2}{4m} + \frac{m^2a_s}{8\pi}R_k^{(b)}(\mathbf{q}) - \frac{(\mathbf{q}+\mathbf{p})^2}{2m} - \frac{1}{2ma_s^2}}. \end{aligned}$$

Estimate of $|\Sigma_k(p)|$:

$$|\Sigma_k(p)| \lesssim \frac{1}{2ma_s^2} \times (\sqrt{2mT}a_s)^3 \times n_B(k^2/4m).$$

\Rightarrow Our approximation is valid up to $(k^2/2m)/T \sim (k_F a_s)^3 \ll 1$.

Critical temperature in the BEC side

Number density:

$$\begin{aligned}
 n &= \int_p \frac{-2}{ip^0 + \mathbf{p}^2/2m + 1/2ma_s^2 - \Sigma_0(p)} \\
 &\simeq \frac{(2mT_c)^{3/2}}{\pi^2} \sqrt{\frac{\pi}{2}} \zeta(3/2).
 \end{aligned}$$

Critical temperature and chemical potential:

$$T_c/\varepsilon_F = 0.218, \quad \mu/\varepsilon_F = -1/(k_F a_s)^2.$$

⇒ Transition temperature of BEC.

Consequence

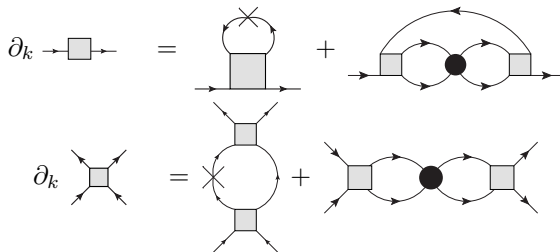
FRG with vertex regulator provides a nonperturbative description of many-body composite particles.

fermionic FRG for the BCS-BEC crossover

We discuss the whole region of the BCS-BEC crossover with fermionic FRG.

⇒ Combine two different formalisms appropriate for BCS and BEC sides.

Minimal set of the flow equation for Σ_k and $\Gamma_k^{(4)}$: (YT, arXiv:1402.0283)



Flow of fermionic FRG with multiple regulators

Flow of four-point vertex:

Important property: fermions decouple from RG flow at the low energy region.

- In BCS side, fermions decouples due to Matsubara freq. ($k^2/2m \lesssim \pi T$).
- In BEC side, fermions decouples due to binding E . ($k^2/2m \lesssim 1/2ma_s^2$).

Approximation on the flow of the four-point vertex at low energy:

$$\partial_k \text{ (four-point vertex) } \approx \text{ (two-loop diagram with a black dot) }$$

Flow of self-energy:

At a low-energy region, the above approx. gives

$$\partial_k \text{ (self-energy) } = \text{ (self-energy diagram with a crossed loop) } + \text{ (two-loop diagram with a black dot) } \approx \partial_k \text{ (self-energy diagram with a loop) }$$

Qualitative behaviors of the BCS-BEC crossover from f-FRG

Approximations on the flow equation have physical interpretations.

Four-point vertex: Particle-particle RPA. The Thouless criterion $1/\Gamma^{(4)}(p=0) = 0$ gives

$$\frac{1}{a_s} = -\frac{2}{\pi} \int_0^\infty \sqrt{2m\varepsilon} d\varepsilon \left[\frac{\tanh \frac{\beta}{2}(\varepsilon - \mu)}{2(\varepsilon - \mu)} - \frac{1}{2\varepsilon} \right]$$

\Rightarrow BCS gap equation at $T = T_c$.

Number density: $n = -2 \int 1/(G^{-1} - \Sigma)$.

$$n = -2 \int_p^{(T)} G(p) - \frac{\partial}{\partial \mu} \int_p^{(T)} \ln \left[1 + \frac{4\pi a_s}{m} \left(\Pi(p) - \frac{m\Lambda}{2\pi^2} \right) \right].$$

\Rightarrow Pairing fluctuations are taken into account. (Nozieres, Schmitt-Rink, 1985)

Consequence

We established the fermionic FRG which describes the BCS-BEC crossover.

Summary

Summary

- RG provides a useful framework to extract and treat large-scale behaviors.
- Functional implementation of coarse graining provides systematic treatment of field theories.
- Fermionic FRG is a promising formalism for interacting fermions.
 - ⇒ Separation of energy scales can be realized by **optimization**.
 - ⇒ Very **flexible** form for various approximation schemes.
- Fermionic FRG is applied to the BCS-BEC crossover.
 - ⇒ BCS side: GMB correction + the shift of Fermi energy from μ .
 - ⇒ BEC side: BEC without explicit bosonic fields.
 - ⇒ whole region: Crossover physics is successfully described at the quantitative level with a minimal setup on f-FRG.